

# NFAs and Myhill-Nerode

CS154

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# Outline

- Bonus Questions
- Equivalence with Finite Automata
- Myhill-Nerode Theorem.

# Bonus Questions

- These questions are open to anybody.
- I will only accept solutions up to the class day after the second midterm.
- The points you receive from doing them can be added to your midterm score.
- You can do either problem or both. Your midterm score can be raised to a maximum of 15pts.
- To receive points on the bonus problems you must come see me during my office hours or after class and demo your code.
- I will ask you questions and review your code to determine how much your code is worth.

# Bonus Question I (page1)

**Problem 1** (2pts). Write a regular expression to NFA program in Java called `rex2nfa`. This should be run from the command line with a line like:

```
java rex2nfa regular_expression
```

Here a *regular\_expression* is built up out of: the lower case alphabet symbols: a,b,c,...,z;  $\emptyset$  -empty set;  $\epsilon$  -empty string;  $(rex1.rex2)$  -- concatenation;  $(rex1 \cup rex2)$  --union; and  $(rex1)^*$  -- star.

The output should be a sequence of rows of the form  
state; symbol > state

# Bonus Question I (page2)

- state - of the form A followed by some string of digits (for instance, A02345) or R followed by some string of digits (for example, R99).
- The former are supposed to accept state the latter are reject states.
- Symbol is supposed to be either an alphabet symbol or E for the empty string.
- The first state listed in the first row output is supposed to be the start state.

# Bonus Question II (page1)

**Problem 2**(3pts). Write an NFA to DFA program in Java called `nfa2dfa`. This should be run from the command line with a line like:

```
java nfa2dfa filename string
```

Here `filename` is the name of a file containing lines of NFA instructions in the format of Problem1; `string` is an string that the output DFA will then try to scan and either accept or reject.

Your program should output a sequence of rows for the DFA, then skip a line and output accept or reject based on whether the original NFA would have accepted or rejected that string.

## Bonus Question II (page2)

The format of the output rows should be:

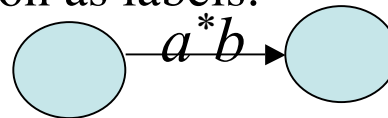
*seq\_of\_states1; symbol > seq\_of\_states2*

By a sequence of states, I mean a comma separated list of states where states are in the format of Problem 1.

Again, the first row should contain the start state of the DFA. I only want you to output rows which are reachable from this start state.

# Proof that regular implies the language of some regular expression

- We will again split the proof into two parts:
  - We first define a new kind of finite automata called a generalized nondeterministic finite automata (GNFA) and show how to convert any DFA into a GNFA.
  - Then we show how to convert any GNFA into a regular expression.
- To begin we define a GNFA to be an NFA where we allow transition arrows to have any regular expression as labels:



- The transition function  $\delta$  now takes a pair of states  $q,r$  and outputs a regular expression,  $R$ . The intended meaning is in state  $q$  reading a substring of the input of form  $R$  we transition to state  $r$ .



# Converting DFAs to GNFA

- We will be interested in GNFA's that have the following special form:
  - The start state has transition arrows to every other state but no arrows coming in from other states.
  - There is a single accept state, and it has arrows coming in from every other state but no arrows going to any other state.
  - Except for the start and accept state, one arrow goes from every state to every other state and also from each state to itself.
- To convert a DFA into a GNFA, we add a new start state with an  $\epsilon$  arrow to the old start state and a new accept state with  $\epsilon$  arrows from the old accept states.
- If any arrows have multiple labels (or if we have two or more arrows between the same two states) we replace each with a single label whose label is the union labels of these arrows.
- Finally, we add arrows with labels  $\emptyset$  between states which had no labels so as to satisfy the remaining conditions of our special form.

# Converting GNFA's to Regular expressions

- Our conversion above gives a GNFA with  $k \geq 2$  states.
- If  $k > 2$ , we will construct an equivalent GNFA with  $k-1$  states.
- To do this we pick some state  $q_{rip}$  other than the start or accept state, and we will rip it out of the machine.
- To compensate for the loss of this state, for any pair of states  $q_i, q_j$  in this new machine we replace  $\delta(q_i, q_j)$  with:  
$$\delta'(q_i, q_j) = (R_1)(R_2)^*(R_3) \cup (R_4)$$

where  $\delta(q_i, q_{rip}) = R_1$ ;  $\delta(q_{rip}, q_{rip}) = R_2$ ;  $\delta(q_{rip}, q_j) = R_3$ ;  $\delta(q_i, q_j) = R_4$
- This machine will be equivalent to the old machine.
- Further, by repeatedly ripping out states in this fashion we can get down to the 2-state machine with just a regular expression on the single transition between these two states.
- This regular expression will be equivalent to the original NFA.

# Making DFAs as Small as Possible

- We have given a process for going from regular expressions (a widely used language for pattern matching) to DFAs.
- We would now like to optimize out DFAs and make them as small as possible.
- The Myhill-Nerode Theorem allows us to do this.

# Pairwise Distinguishability

**Definition:** (1) We say two strings  $x, y$  are *pairwise distinguishable* by  $L$ , if some string  $z$  exists such that exactly one of  $xz$  or  $yz$  is in  $L$ . (2) We say two strings  $x, y$  are *pairwise indistinguishable* by  $L$ , if all strings  $z$  both of  $xz$  and  $yz$  is in  $L$  or both are not in  $L$ .

**Fact:** Pairwise Indistinguishability is an equivalence relation on strings.

**Definition:** Let the *index of  $L$*  be the number of equivalence classes of  $L$  with respect to pairwise indistinguishability.

# Intuition

- Suppose we have a DFA for some regular language. We pick two states in this DFA, say  $q_1$  and  $q_2$  and we ask whether we could collapse them into one state or not.
- If it is the case that given any string  $z$ , that when we are state  $q_1$  when we begin reading  $z$  we do exactly the same as far as accepting/rejecting as we would do if we were in state  $q_2$  when we began reading a  $z$ , then we could collapse these states into one.
- Call two states  $q_1$  and  $q_2$  *distinguishable* if there is some string  $z$  which such that beginning in state  $q_1$  reading a  $z$  we reject; whereas, in state  $q_2$  reading a  $z$  we accept or vice-versa.
- Similarly, we can define state *indistinguishability*. This is also an equivalence relation with equivalence classes contained in those of language indistinguishability.
- So indistinguishability is measuring whether or not we can collapse states.

# Myhill-Nerode Theorem (start)

- A language is regular iff it is of finite index.

**Proof:** Give any DFA for a language  $L$ , state indistinguishability for this DFA will have more equivalence classes than language indistinguishability for  $L$ . So if the number of language indistinguishable equivalence classes is not finite, the DFA can't have a finite number of states giving a contradiction.