# Finite Automata. 

## CS154

Chris Pollett
Feb. 6, 2006.

## Outline

- Introductory Examples
- Formal Definition
- Example of the Definition
- Formal Definition of Accepts
- Designing Finite Automata
- Regular Operations


## Introductory Examples

- Finite automata are computer models which are useful when one has very limited memory availability.
- Consider an automatic door say at a grocery store.


Door

- We can model the door state this using a finite automata:



## More on Door Example

- The controller might start in a CLOSED state and receive the signals: OUTSIDE, INSIDE, NEITHER, INSIDE, BOTH, OUTSIDE, INSIDE NEITHER.
- It would then transition between the states CLOSED (start), OPEN, OPEN, CLOSED, CLOSED, CLOSED, OPEN, OPEN, CLOSED.
- Notice only need 1-bit of memory to keep track of state.
- It is also straightforward to represent transitions in a table:

|  | Neither | Outside | Inside | Both |
| :---: | :---: | :---: | :---: | :---: |
|  | Closed | Closed | Open | Closed |
| Closed |  |  |  |  |
| Open | Closed | Open | Open | Open |

- Finite automata and the their probabilistic counterparts called Markov chains are also useful for pattern recognition. For example, recognizing keywords in programming languages. Or figuring out which word English is likely based on the previous ones seen.


## Names for things

- The picture we drew of our automata a couple slides back is called a state diagram.
- We will usually use the variables $\mathrm{M}, \mathrm{N}, \ldots$ for machines.
- Here is another example machine $\mathrm{M}_{1}$ :

- The start state is the state with an arrow going from nowhere into it.
- If we are recognizing strings then when we stop process when we get to the end of a string of inputs.
- If we are in a double circled state at that point we accept the string otherwise we reject it. So double circled states called accept states.
- Arrows going from one state to another are called transitions.
- You might want to see if you can figure out if the above automata accepts each of the following strings: $000,0110,1101$.


## Formal Definition

- A finite automaton is a 5 -tuple $\left(\mathrm{Q}, \Sigma, \delta, \mathrm{q}_{0}, \mathrm{~F}\right)$, where

1. Q is a finite set called the states.
2. $\quad \sum$ is a finite set called the alphabet.
3. $\delta: \mathrm{Q} \times \sum-->\mathrm{Q}$ is the transition function.
4. $\mathrm{q}_{0} \in \mathrm{Q}$ is the start state, and
5. $\mathrm{F} \subseteq \mathrm{Q}$ is the set of accept states.

- The transition function tells us if we are in a given state reading a given symbol what is the next state to go to.


## Example of the Definition

- The machine $\mathrm{M}_{1}$ of a couple slides back can be described as:

1. $\mathrm{Q}=\{\mathrm{q} 1, \mathrm{q} 2, \mathrm{q} 3\}$
2. $\Sigma=\{0,1\}$
3. $\delta$ can be described as:

$$
\begin{array}{ll}
(q 1,0)-->q 1 & (q 1,1)-->q 2 \\
(q 2,0)-->q 3 & (q 2,1)-->q 2 \\
(q 3,0)-->q 2 & (q 3,1)-->q 2
\end{array}
$$

4. q 1 is the start state, and
5. $\mathrm{F}=\{\mathrm{q} 2\}$

- We write $\mathrm{L}(\mathrm{M})$ for the language that M accepts. That is, those strings that M accepts.
- Given a set of strings $S$, we say $\mathbf{M}$ recognizes $\mathbf{S}$ if $L(M)=S$.
- $\quad$ So $M_{1}$ recognizes $\{\mathrm{w} \mid \mathrm{w}$ contains at least one 1 and an even number of 0 s follow the last 1$\}$


## Formal Definition of Accepting a String

- Let $\mathrm{M}=\left(\mathrm{Q}, \Sigma, \delta, \mathrm{q}_{0}, \mathrm{~F}\right)$ be a finite automaton and let $\mathrm{w}=\mathrm{w}_{1} \mathrm{w}_{2} \ldots \mathrm{w}_{\mathrm{n}}$ be a string. Then $\mathbf{M}$ accepts $w$ if a sequence of states $r_{0} r_{1} \ldots r_{n}$ in $Q$ exist satisfying:

1. $\mathrm{r}_{0}=\mathrm{q}_{0}$
2. $\delta\left(r_{i}, w_{i+1}\right)=r_{i+1}$, for $i=0,1, \ldots, n-1$, and
3. $r_{n} \in F$.

- We say $M$ recognizes language $A$ if $A=\{w \mid M$ accepts w\}.
- A language is called a regular language if some finite automaton recognizes it.


## Designing Finite Automata

- Suppose we want to recognize the language that consists of an odd number of 1s.
- One approach is to "pretend to be the automaton".
- You get symbols from $\{0,1\}$ one by one.
- Ask yourself how much of the string so far do I read to remember in order to decide whether to accept or not. In this case,

1. even so far
2. odd so far

- Make each of these possibilities states. Next pretend you are in one of the states and see a symbol. What do you do?

- Finally you should figure out what your accept and final states are:



## Regular Operations

- Just as the natural number are closed under operations like addition and multiplication, the regular languages enjoy some closure properties:
- Union $\mathrm{A} \cup \mathrm{B}=\{\mathrm{x} \mid \mathrm{x} \in \mathrm{A}$ or $\mathrm{x} \in \mathrm{B}\}$
- Concatenation $\mathrm{AoB}=\{\mathrm{xy} \mid \mathrm{x} \in \mathrm{A}$ and $\mathrm{y} \in \mathrm{B}\}$
$-\operatorname{Star} A^{*}=\left\{x_{1} x_{2} \ldots x_{k} \mid k>=0\right.$ and each $\left.x_{i} \in A\right\}$

