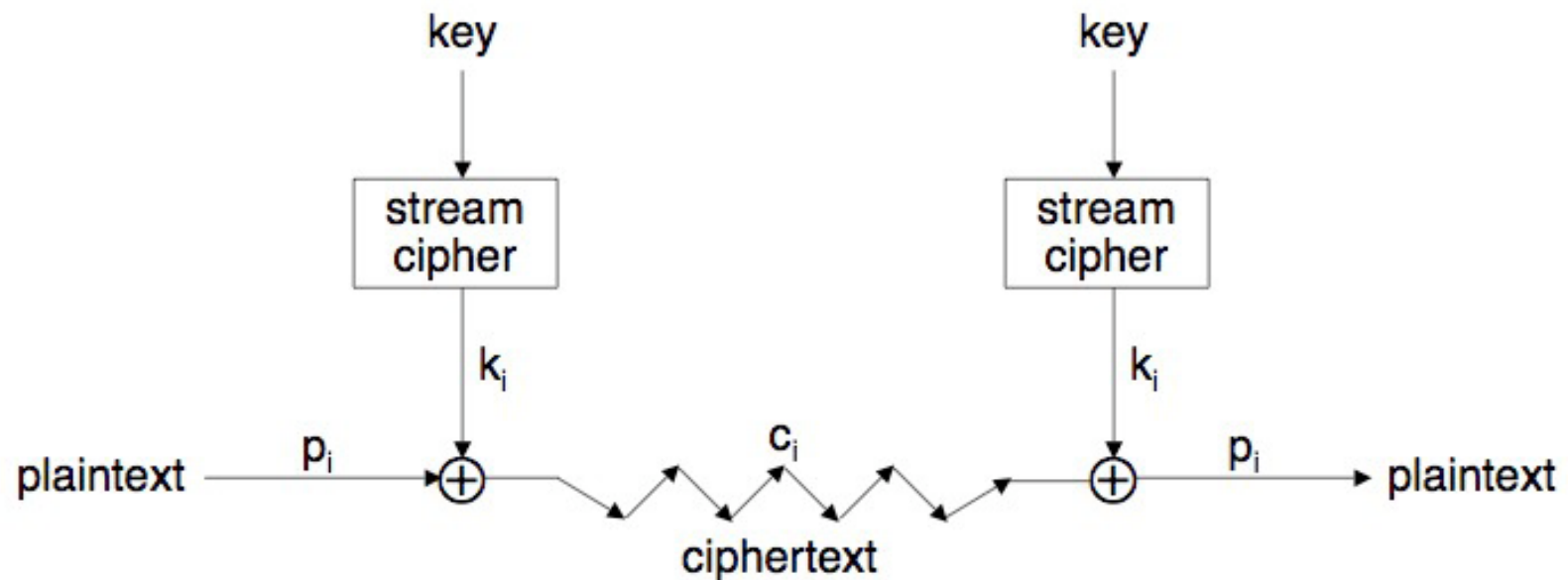


Stream Ciphers

Stream Ciphers

- ❑ Generalization of **one-time pad**
- ❑ Trade provable security for practicality
- ❑ Stream cipher is initialized with short **key**
- ❑ Key is "stretched" into long **keystream**
- ❑ Keystream is used like a one-time pad
 - XOR to encrypt or decrypt
- ❑ Stream cipher is a keystream generator
- ❑ Usually, keystream is bits, sometimes bytes

Stream Cipher



□ Generic view of stream cipher

Stream Cipher

- We consider 3 real stream ciphers
 - **ORYX** — weak cipher, uses shift registers, generates 1 byte/step
 - **RC4** — strong cipher, widely used but used poorly in WEP, generates 1 byte/step
 - **PKZIP** — intermediate strength, unusual mathematical design, generates 1 byte/step
- But first, we discuss shift registers

Shift Registers

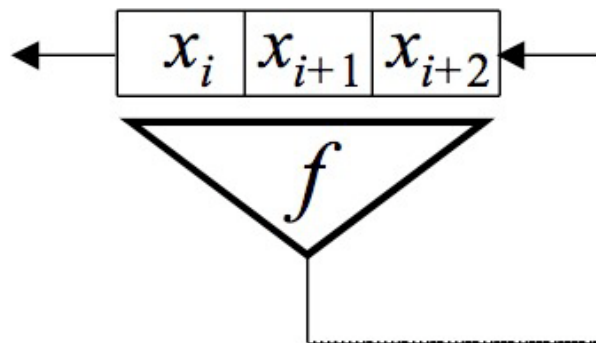
- ❑ Traditionally, stream ciphers were based on shift registers
 - Today, a wider variety of designs
- ❑ Shift register includes
 - A series of stages each holding one bit
 - A feedback function
- ❑ A linear feedback shift register (**LFSR**) has a linear feedback function

Shift Register

- Example (nonlinear) feedback function

$$f(x_i, x_{i+1}, x_{i+2}) = 1 \oplus x_i \oplus x_{i+2} \oplus x_{i+1}x_{i+2}$$

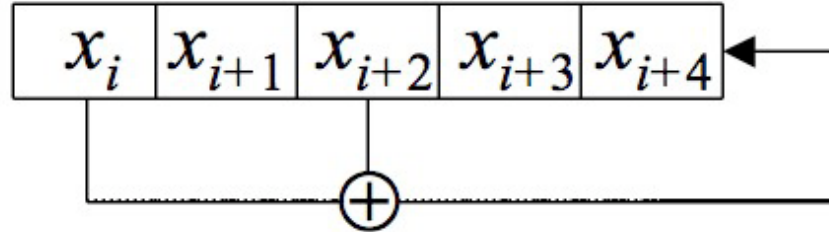
- Example (nonlinear) shift register



- First 3 bits are **initial fill**: (x_0, x_1, x_2)

LFSR

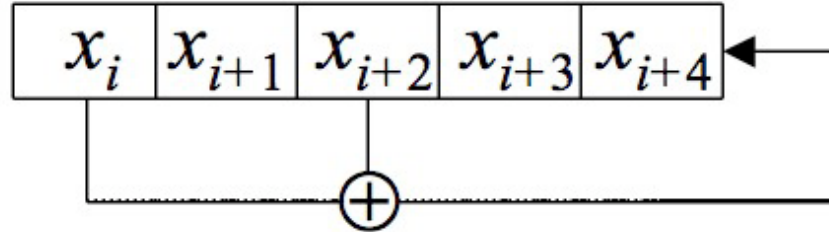
- Example of LFSR



- Then $x_{i+5} = x_i \oplus x_{i+2}$ for all i
- If initial fill is $(x_0, x_1, x_2, x_3, x_4) = 01110$
then $(x_0, x_1, \dots, x_{15}, \dots) = 0111010100001001\dots$

LFSR

- For LFSR



- We have $x_{i+5} = x_i \oplus x_{i+2}$ for all i
- Linear feedback functions often written in polynomial form: $x^5 + x^2 + 1$
- **Connection polynomial** of the LFSR

Berlekamp-Massey Algorithm

- Given (part of) a (periodic) sequence, can find shortest LFSR that could generate the sequence
- Berlekamp-Massey algorithm
 - Order N^2 , where N is length of LFSR
 - Iterative algorithm
 - Only $2N$ consecutive bits required

Berlekamp-Massey Algorithm

- Binary sequence: $s = (s_0, s_1, s_2, \dots, s_{n-1})$
- **Linear complexity** of s is the length of shortest LFSR that can generate s
- Let L be linear complexity of s
- Then connection polynomial of s is of form
$$C(x) = c_0 + c_1x + c_2x^2 + \dots + c_Lx^L$$
- Berlekamp-Massey finds L and $C(x)$
 - Algorithm on next slide (where d is known as the **discrepancy**)

Berlekamp-Massey Algorithm

```
// Given binary sequence  $s = (s_0, s_1, s_2, \dots, s_{n-1})$ 
// Find linear complexity  $L$  and connection polynomial  $C(x)$ 
BM( $s$ )
   $C(x) = B(x) = 1$ 
   $L = N = 0$ 
   $m = -1$ 
  while  $N < n$  //  $n$  is length of input sequence
     $d = s_N \oplus c_1 s_{N-1} \oplus c_2 s_{N-2} \oplus \dots \oplus c_L s_{N-L}$ 
    if  $d == 1$  then
       $T(x) = C(x)$ 
       $C(x) = C(x) + B(x)x^{N-m}$ 
      if  $L \leq N/2$  then
         $L = N + 1 - L$ 
         $m = N$ 
         $B(x) = T(x)$ 
      end if
    end if
     $N = N + 1$ 
  end while
  return( $L$ )
end BM
```

Berlekamp-Massey Algorithm

sequence: $s = (s_0, s_1, \dots, s_7) = 10011100$

initialize: $C(x) = B(x) = 1, L = N = 0, m = -1$

□ Example:

$$\underline{N = 0}$$

$$d = s_0 = 1$$

$$T(x) = 1, C(x) = 1 + x$$

$$L = 1, m = 0, B(x) = 1$$

$$\underline{N = 1}$$

$$d = s_1 \oplus c_1 s_0 = 1$$

$$T(x) = 1 + x, C(x) = 1$$

$$\underline{N = 2}$$

$$d = s_2 \oplus c_1 s_1 \oplus c_2 s_0 = 0$$

$$\underline{N = 3}$$

$$d = s_3 \oplus c_1 s_2 \oplus c_2 s_1 \oplus c_3 s_0 = 1$$

$$T(x) = 1, C(x) = 1 + x^3$$

$$L = 3, m = 3, B(x) = 1$$

$$\underline{N = 4}$$

⋮

Berlekamp-Massey Algorithm

- ❑ Berlekamp-Massey is efficient way to determine minimal LFSR for sequence
- ❑ With known plaintext, keystream bits of stream cipher are exposed
- ❑ With enough keystream bits, can use Berlekamp-Massey to find entire keystream
 - $2L$ bits is enough, where L is linear complexity of the keystream
- ❑ **Keystream must have large linear complexity**

Cryptographically Strong Sequences

- ❑ A sequence is **cryptographically strong** if it is a "good" keystream
 - "Good" relative to some specified criteria
- ❑ Crypto strong sequence must be **unpredictable**
 - Known plaintext exposes part of keystream
 - Trudy must not be able to determine more of the keystream from a short segment
- ❑ Small linear complexity implies predictable
 - Due to Berlekamp-Massey algorithm

Crypto Strong Sequences

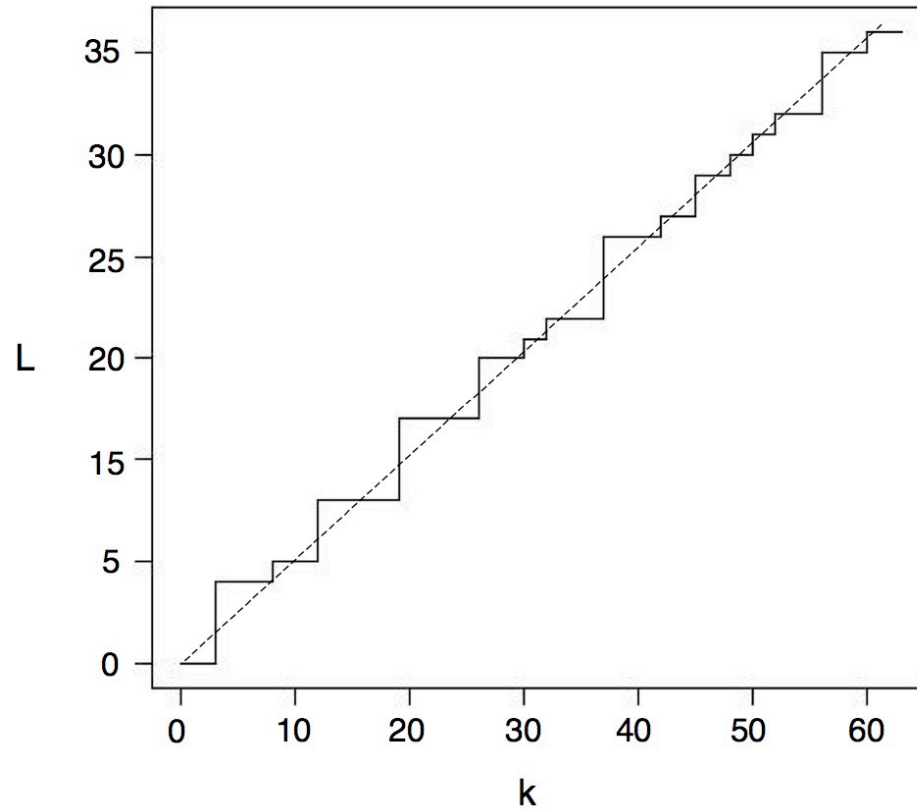
- ❑ Necessary for a **cryptographically strong** keystream to have a high linear complexity
- ❑ But not sufficient!
- ❑ Why? Consider $s = (s_0, s_1, \dots, s_{n-1}) = 00\dots 01$
- ❑ Then s has linear complexity n
 - Smallest shift register for s requires n stages
 - Largest possible for sequence of period n
 - But s is not cryptographically strong
- ❑ Linear complexity “concentrated” in last bit

Linear Complexity Profile

- **Linear complexity profile** is a better measure of cryptographic strength
- Plot linear complexity as function of bits processed in Berlekamp-Massey algorithm
 - Should follow $n/2$ line “closely but irregularly”
- Plot of sequence $s = (s_0, s_1, \dots, s_{n-1}) = 00\dots 01$ would be 0 until last bit, then jumps to n
 - Does **not** follow $n/2$ line “closely but irregularly”
 - Not a strong sequence (by this definition)

Linear Complexity Profile

- A “good” linear complexity profile



k-error Linear Complexity Profile

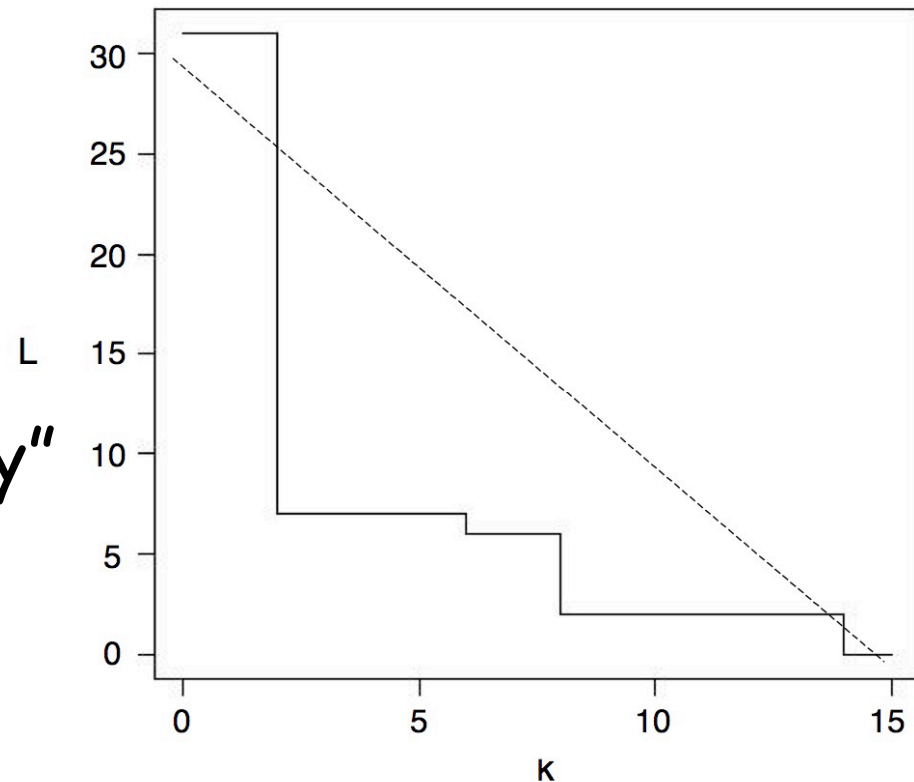
- ❑ Alternative way to measure cryptographically strong sequences
- ❑ Consider again $s = (s_0, s_1, \dots, s_{n-1}) = 00\dots 01$
- ❑ This s has max linear complexity, but it is only 1 bit away from having min linear complexity
- ❑ k-error linear complexity is min complexity of any sequence that is "distance" k from s
- ❑ 1-error linear complexity of $s = 00\dots 01$ is 0
 - Linear complexity of this sequence is "unstable"

k-error Linear Complexity Profile

- k-error linear complexity profile
 - k-error linear complexity as function of k

- Example:

- Not a strong s
- Good profile should follow diagonal "closely"



Crypto Strong Sequences

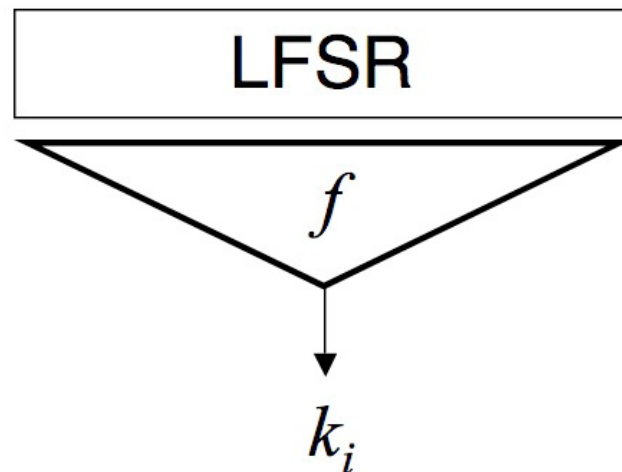
- ❑ Linear complexity must be “large”
- ❑ Linear complexity profile must $n/2$ line “closely but irregularly”
- ❑ k -error linear complexity profile must follow diagonal line “closely”
- ❑ All of this is necessary but not sufficient for crypto strength!

Shift Register-Based Stream Ciphers

- Two approaches to LFSR-based stream ciphers
 - One LFSR with nonlinear combining function
 - Multiple LFSRs combined via nonlinear func
- In either case
 - Key is initial fill of LFSRs
 - Keystream is output of nonlinear combining function

Shift Register-Based Stream Ciphers

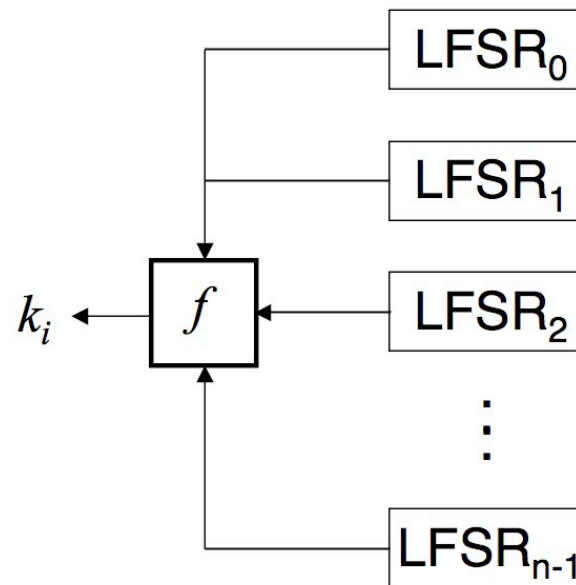
- LFSR-based stream cipher
 - 1 LFSR with nonlinear function $f(x_0, x_1, \dots, x_{n-1})$



- Keystream: k_0, k_1, k_2, \dots

Shift Register-Based Stream Ciphers

- LFSR-based stream cipher
 - Multiple LFSRs with nonlinear function



- Keystream: k_0, k_1, k_2, \dots

Shift Register-Based Stream Ciphers

- Single LFSR example is special case of multiple LFSR example
- To convert single LFSR case to multiple
 - Let $\text{LFSR}_0, \dots, \text{LFSR}_{n-1}$ be same as LFSR
 - Initial fill of LFSR_0 is initial fill of LFSR
 - Initial fill of LFSR_1 is initial fill of LFSR stepped once
 - And so on...

Correlation Attack

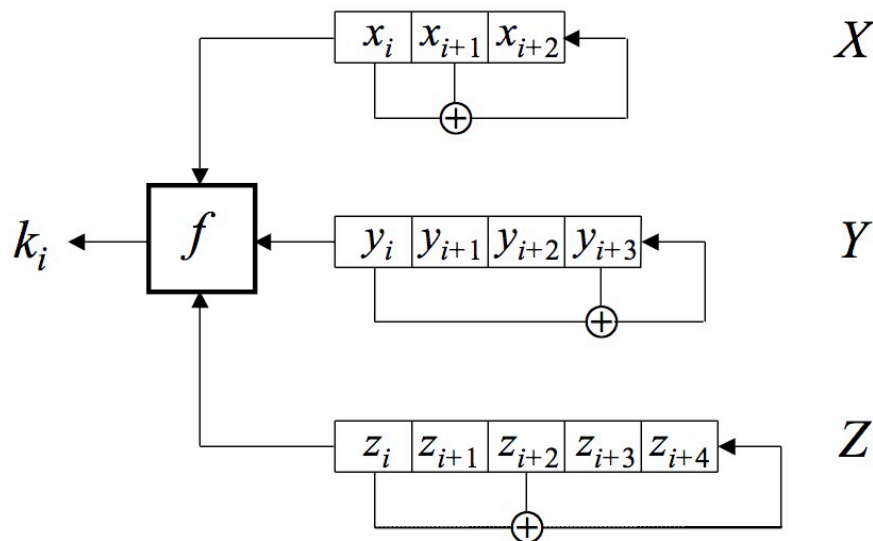
- ❑ Trudy obtains some segment of keystream from LFSR stream cipher
 - Of the type considered on previous slides
- ❑ Can assume stream cipher is the multiple shift register case
 - If not, convert it to this case
- ❑ By Kerckhoffs Principle, we assume shift registers and combining function known
- ❑ Only unknown is the key
 - The key consists of LFSR initial fills

Correlation Attack

- ❑ Trudy wants to recover LFSR initial fills
 - She knows all connection polynomials and nonlinear combining function
 - She also knows N keystream bits, k_0, k_1, \dots, k_{N-1}
- ❑ Sometimes possible to determine initial fills of the LFSRs independently
 - By correlating each LFSR output to keystream
 - A classic **divide and conquer** attack

Correlation Attack

- For example, suppose keystream generator is of the form:



- And $f(x,y,z) = xy \oplus yz \oplus z$
- Note that key is 12 bits, initial fills

Correlation Attack

- For stream cipher on previous slide
- Suppose initial fills are
 - $X = 011, Y = 0101, Z = 11100$

bits $i = 0, 1, 2, \dots, 23$

x_i	0	1	1	1	0	0	1	0	1	1	1	0	0	1	0	1	1	1	0	0	1	0	1	1
y_i	0	1	0	1	1	0	0	1	0	0	0	1	1	1	1	0	1	0	1	1	0	0	1	0
z_i	1	1	1	0	0	0	1	1	0	1	1	1	0	1	0	1	0	0	0	0	1	0	0	1
k_i	1	1	1	1	0	0	1	0	0	1	1	0	0	1	0	1	1	0	0	0	1	0	1	1

Correlation Attack

- Consider truth table for combining function: $f(x,y,z) = xy \oplus yz \oplus z$
- Easy to show that
 - $f(x,y,z) = x$ with probability $3/4$
 - $f(x,y,z) = z$ with probability $3/4$
- Trudy can use this to recover initial fills from known keystream

Correlation Attack

- ❑ Trudy sees keystream in table
- ❑ Trudy wants to find initial fills
- ❑ She guesses $X = 111$, generates first 24 bits of putative X , compares to k_i

x_i	1	1	1	0	0	1	0	1	1	1	0	0	1	0	1	1	1	0	0	1	0	1	1	1
k_i	1	1	1	1	0	0	1	0	0	1	1	0	0	1	0	1	1	0	0	0	1	0	1	1

- ❑ Trudy finds 12 out of 24 matches
- ❑ As expected in random case

Correlation Attack

- Now suppose Trudy guesses correct fill, $X = 011$
- First 24 bits of X (and keystream)

x_i	0	1	1	1	0	0	1	0	1	1	1	0	0	1	0	1	1	1	0	0	1	0	1	1
k_i	1	1	1	1	0	0	1	0	0	1	1	0	0	1	0	1	1	0	0	0	1	0	1	1

- Trudy finds 21 out of 24 matches
- Expect 3/4 matches in causal case
- Trudy has found initial fill of X

Correlation Attack

- ❑ How much work is this attack?
 - The X,Y,Z fills are 3,4,5 bits, respectively
- ❑ We need to try about half of the initial fills before we find X
- ❑ Then we try about half of the fills for Y
- ❑ Then about half of Z fills
- ❑ Work is $2^2 + 2^3 + 2^4 < 2^5$
- ❑ Exhaustive key search work is 2^{11}

Correlation Attack

- Work factor in general...
- Suppose n LFSRs
 - Of lengths N_0, N_1, \dots, N_{n-1}
- Correlation attack work is
$$2^{N_0-1} + 2^{N_1-1} + \dots + 2^{N_{n-1}-1}$$
- Work for exhaustive key search is
$$2^{N_0+N_1+\dots+N_{n-1}-1}$$

Conclusions

- ❑ Keystreams must be **cryptographically strong**
 - Crucial property: unpredictable
- ❑ Lots of theory available for LFSRs
 - Berlekamp-Massey algorithm
 - Nice mathematical theory exists
- ❑ LFSRs can be used to make stream ciphers
 - LFSR-based stream ciphers must be **correlation immune**
 - Depends on properties of function f

Coming Attractions

- Consider attacks on 3 stream ciphers
 - **ORYX** — weak cipher, uses shift registers, generates 1 byte/step
 - **RC4** — strong, widely used but used poorly in WEP, generates 1 byte/step
 - **PKZIP** — medium strength, unusual design, generates 1 byte/step