

Investigating Lattice-based Cryptography

BY

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Purpose



Purpose

- ▶ Symmetric keys
- ▶ Public keys
- ▶ Quantum computers
- ▶ Quantum-resistant cryptography
- ▶ Rust programming language
- ▶ What we did in this project
- ▶ Goal of this project

Purpose Symmetric Keys

- ▶ Used since ancient times
- ▶ Believed to be secure “forever”
- ▶ What is the problem?

Purpose Public Keys

- ▶ Invented in 1976 by Diffie and Hellman
- ▶ Solve the problem with symmetric keys
- ▶ Diffie-Hellman key exchange and RSA in use today

Purpose Quantum Computers

- ▶ Have capabilities beyond being faster
- ▶ Threaten public key systems but not symmetric key systems
- ▶ Shor's algorithm
- ▶ Why are public key systems still safe?

Purpose Post-quantum Cryptography

- ▶ 6 approaches
- ▶ Security reductions
- ▶ Cost of replacing current public-key systems

Purpose

Rust Programming Language

- ▶ Fast and memory efficient
- ▶ Memory safe
- ▶ Useful error messages and package manager

Purpose

What we did in this project

- ▶ Implement the McEliece public key system using Rust
 - ▶ Code-based
 - ▶ Security reduction to SDP
- ▶ Implement the Regev public key system using Rust
 - ▶ Lattice-based
 - ▶ Security reduction to GAPSVP and SIVP
- ▶ Run experiments to show the cost of replacing current public-key systems with post-quantum systems

Purpose Goal of this Project

- ▶ Understand and implement two candidates for post-quantum algorithms
 - ▶ McEliece public key system
 - ▶ Regev public key system
- ▶ Analyze the cost of replacing pre-quantum algorithms with post-quantum algorithms
- ▶ Use a new programming language (Rust) to provide some novelty

Background



Background

- ▶ Finite fields
- ▶ Linear codes
- ▶ Learning with errors

Background

Finite fields

- ▶ Set of elements together with two binary operations such that for all elements of the set the field axioms are obeyed
- ▶ Field axioms:
 - ▶ closure under addition
 - ▶ associativity under addition
 - ▶ additive identity
 - ▶ additive inverse
 - ▶ commutativity of addition
 - ▶ closure under multiplication
 - ▶ associativity of multiplication
 - ▶ distributive laws
 - ▶ commutativity of multiplication
 - ▶ multiplicative identity
 - ▶ no zero divisors
 - ▶ multiplicative inverse

Background Finite fields

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GF(2^m)

irreducible polynomial: $f(x) = x^3 + x + 1$

elements: $0, 1, x, x + 1, x^2, x^2 + 1, x^2 + x, x^2 + x + 1$
 $= 000, 001, 011, 100, 101, 110, 111$

GF(2^{mt})

irreducible polynomial: $g(x) = x^2 + x + 110$

elements: $0x + 0, 0x + 1, 0x + 10, 0x + 11,$
 $0x + 100, 0x + 101, 0x + 110, 0x + 111,$
 $1x + 0, 1x + 1, 1x + 10, 1x + 11, 1x + 100,$
 $1x + 101, 1x + 110, 1x + 111,$
...

Background Linear Codes

- ▶ Coding theory invented in 1978
- ▶ Wants to select a message at one point and reproduce it exactly or approximately at another point
- ▶ Binary symmetric channel
- ▶ Binary symmetric source, sender
- ▶ (n, k) linear code
- ▶ Encoding algorithm – generator matrix
- ▶ Decoding algorithm – parity check matrix, syndrome

Background Learning With Errors

- ▶ For an integer $n \geq 1$ and a real number $\epsilon \geq 0$ the “learning from parity with error” problem is as follows.
 - ▶ Given a list of “equations with errors”
$$\langle \vec{s}, \vec{a}_1 \rangle \approx_{\epsilon} b_1 \pmod{2}$$
$$\langle \vec{s}, \vec{a}_2 \rangle \approx_{\epsilon} b_2 \pmod{2}$$
$$\dots$$
$$\langle \vec{s}, \vec{a}_m \rangle \approx_{\epsilon} b_m \pmod{2}$$
 - ▶ Where each \vec{a}_i is a vector chosen uniformly from Z_2^n
 - ▶ Where $\langle \vec{s}, \vec{a}_i \rangle$ is the dot product of \vec{s} and \vec{a}_i modulo 2
 - ▶ And where \approx_{ϵ} means congruent with probability ϵ
- ▶ Find the unknown $\vec{s} \in Z_2^n$

Steps



Steps

- ▶ Key generation
- ▶ Encryption
- ▶ Decryption

Steps McEliece: Key Generation

- ▶ Step 1:
 - ▶ Generate an irreducible polynomial of degree m over $GF(2)$, call it $f(x)$
 - ▶ Generate an irreducible polynomial of degree t over $GF(2^m=n)$, call it $g(x)$
- ▶ Step 2:
 - ▶ Find the parity check matrix for the linear code corresponding to $g(x)$
- ▶ Step 3:
 - ▶ Private key: find the generator matrix for the (n,k) linear code
- ▶ Step 4:
 - ▶ Public key: scramble the generator matrix

Steps

McEliece: Encryption

- ▶ Step 1:
 - ▶ choose message m and compute $y = mG'$
- ▶ Step 2:
 - ▶ choose error vector e with t errors and compute $y' = mG' + e$
 - ▶ Send y'

Steps McEliece: Decryption

- ▶ Step 1:
 - ▶ Compute $y'P^{-1} = mSGP^{-1} + eP^{-1}$
- ▶ Step 2:
 - ▶ Use Patterson's algorithm to find eP^{-1}
 - ▶ Add eP^{-1} to $y'P^{-1}$ to get $mSGPP^{-1} = mSG$
- ▶ Step 3:
 - ▶ Find mS by row reducing $[G^T | (mSG)^T]$
- ▶ Step 4:
 - ▶ Find m by computing mSS^{-1}

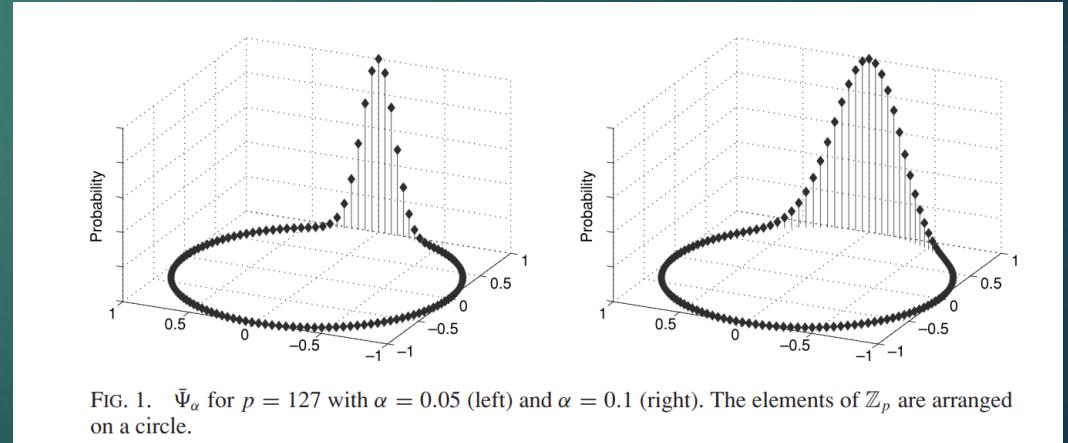
Steps Regev: Key Generation

all arithmetic done modulo p

- ▶ Step 1
 - ▶ Choose input parameters n and ϵ , n and integer and ϵ real
 - ▶ Set p such that $n^2 \leq p \leq 2n^2$ and set $m = (1 + \epsilon)(1 + n) \log p$
- ▶ Step 2
 - ▶ Private key: choose $\vec{s} \in Z_p^n$ randomly
- ▶ Step 3
 - ▶ Public key:
 - ▶ Create the error list $e_1 \dots e_m$ by choosing e_i from the probability distribution $\chi = \overline{\Psi}_{\alpha(n)}$
 - ▶ Create the list (\vec{a}_i, b_i) for $i = 1, \dots, m$ where each \vec{a}_i is chosen randomly from Z_p^n and $b_i = \langle \vec{a}_i, \vec{s} \rangle + e_i$

Steps Regev: Key Generation

- ▶ Choosing e_i from the probability distribution $\chi = \overline{\Psi}_{\alpha(n)}$
 - ▶ Sample from a normal distribution with mean 0 and standard deviation $\frac{\alpha(n)}{\sqrt{2\pi}}$
 - ▶ Where $\alpha(n) = \frac{1}{\sqrt{n} \log^2 n}$
 - ▶ Reduce the result modulo 1
 - ▶ Multiply by p
 - ▶ Round to the closest integer modulo p



Steps Regev: Encryption

- ▶ Step 1
 - ▶ Choose a subset S of the public key
- ▶ Step 2
 - ▶ Encrypt a 0 as $(\sum_{i \in S} a_i, \sum_{i \in S} b_i)$
 - ▶ Encrypt a 1 as $(\sum_{i \in S} a_i, \left\lfloor \frac{p}{2} \right\rfloor + \sum_{i \in S} b_i)$
 - ▶ Send the encrypted bit (\vec{a}, b)

all arithmetic done modulo p

Steps Regev: Decryption

all arithmetic done modulo p

- ▶ Step 1
 - ▶ Compute $\vec{b} - \langle \vec{a}, \vec{s} \rangle$ from the bit (\vec{a}, b)
- ▶ Step 2
 - ▶ Compute the circular distance of $\vec{b} - \langle \vec{a}, \vec{s} \rangle$ to 0
 - ▶ Compute the circular distance of $\vec{b} - \langle \vec{a}, \vec{s} \rangle$ to $\left\lfloor \frac{p}{2} \right\rfloor$
 - ▶ If the first distance is smaller, decrypt as a 0
 - ▶ Otherwise, decrypt as 1

$$\begin{array}{ccccccc} & p-1 & 0 & 1 & 2 & & \\ & p-2 & & & & & \\ & & & & & \cdots & \\ & & & & & & \\ & \left\lfloor \frac{p}{2} \right\rfloor + 1 & \left\lfloor \frac{p}{2} \right\rfloor & \left\lfloor \frac{p}{2} \right\rfloor - 1 & & & \end{array}$$

Example

Example

McEliece: Key Generation

- ▶ Step 1:
 - ▶ Choose n and t with n a power of 2
 - ▶ Generate an irreducible polynomial of degree m over $GF(2)$, call it $f(x)$
 - ▶ Generate an irreducible polynomial of degree t over $GF(2^m=n)$, call it $g(x)$
- ▶ Example:

$$(n, t) = (8, 2)$$

$$f(x) = x^3 + x + 1$$

$$g(x) = x^2 + x + 111$$

Example

McEliece: Key Generation

- ▶ Step 2:
 - ▶ Find the parity check matrix for the linear code corresponding to $g(x)$
- ▶ Example:

choose $L: L = \{\alpha_1, \dots, \alpha_n\} = \{011, 100, 110, 001, 000, 101, 010, 111\}$

create x :

$$x = \begin{bmatrix} 001 & 000 \\ 001 & 001 \end{bmatrix}$$

$$x = \begin{bmatrix} g_t & 0 & 0 & \dots & 0 \\ g_{t-1} & g_t & 0 & 0 & 0 \\ \dots & \dots & \dots & 0 & 0 \\ g_1 & g_2 & g_3 & \dots & g_t \end{bmatrix}$$

$$\begin{aligned} 1^{-1} \bmod x^3 + x + 1 &= 001 \\ x^{-1} \bmod x^3 + x + 1 &= x^2 + 1 = 101 \\ (x+1)^{-1} \bmod x^3 + x + 1 &= x^2 + x = 110 \\ (x^2)^{-1} \bmod x^3 + x + 1 &= x^2 + x + 1 = 111 \\ (x^2+1)^{-1} \bmod x^3 + x + 1 &= x = 010 \\ (x^2+x)^{-1} \bmod x^3 + x + 1 &= x + 1 = 011 \\ (x^2+x+1)^{-1} \bmod x^3 + x + 1 &= x^2 = 100 \end{aligned}$$

compute $H=xyz$:

$$H = xyz = \begin{bmatrix} 001 & 010 & 110 & 100 & 100 & 010 & 001 & 110 \\ 010 & 001 & 100 & 000 & 100 & 011 & 011 & 010 \end{bmatrix}$$

convert H to binary:

$$H = \begin{bmatrix} 0 & 0 & 1 & 1 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 0 \end{bmatrix}$$

$$y = \begin{bmatrix} 001 & 001 & 001 & 001 & 001 & 001 & 001 & 001 \\ 011 & 100 & 110 & 001 & 000 & 101 & 010 & 111 \end{bmatrix} \quad y = \begin{bmatrix} \alpha_1^0 & \alpha_2^0 & 0 & \dots & \alpha_n^0 \\ \alpha_1^1 & \alpha_2^1 & 0 & \dots & \alpha_n^1 \\ \dots & \dots & \dots & \dots & \dots \\ \alpha_1^{t-1} & \alpha_2^{t-1} & 0 & \dots & \alpha_n^{t-1} \end{bmatrix}$$

$$z = \begin{bmatrix} 001 & 000 & 000 & 000 & 000 & 000 & 000 \\ 000 & 010 & 000 & 000 & 000 & 000 & 000 \\ 000 & 000 & 110 & 000 & 000 & 000 & 000 \\ 000 & 000 & 000 & 100 & 000 & 000 & 000 \\ 000 & 000 & 000 & 000 & 100 & 000 & 000 \\ 000 & 000 & 000 & 000 & 010 & 000 & 000 \\ 000 & 000 & 000 & 000 & 000 & 001 & 000 \\ 000 & 000 & 000 & 000 & 000 & 000 & 110 \end{bmatrix}$$

$$z = \begin{bmatrix} \frac{1}{g(\alpha_1)} & 0 & 0 \\ 0 & \frac{1}{g(\alpha_2)} & 0 \\ \dots & \dots & \dots \\ 0 & \dots & \frac{1}{g(\alpha_n)} \end{bmatrix}$$

Example

McEliece: Key Generation

- ▶ Step 3:
 - ▶ Find the generator matrix for the linear code

$$\text{nullspace}(H) = \begin{bmatrix} 1 & 0 \\ 1 & 1 \\ 1 & 1 \\ 0 & 1 \\ 1 & 1 \\ 0 & 1 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$G = \text{nullspace}^T = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 1 \end{bmatrix}$$

Example

McEliece: Key Generation

- ▶ Step 4:
 - ▶ Scramble the generator matrix

$$S = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$G = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 1 \end{bmatrix}$$

$$P = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$G' = SGP = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

Example

McEliece: Encryption

- ▶ Step 1:
 - ▶ choose message m and compute mG'

$$m = [0 \ 1]$$

$$G' = SGP = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

$$mG' = [1 \ 0 \ 1 \ 0 \ 1 \ 1 \ 1 \ 1]$$

Example

McEliece: Encryption

- ▶ Step 2:
 - ▶ choose error vector e and compute $y' = mG' + e$
 - ▶ Send y

$$e = [1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0]$$

$$mG' = [1 \ 0 \ 1 \ 0 \ 1 \ 1 \ 1 \ 1]$$

$$y' = mG' + e = [0 \ 0 \ 1 \ 0 \ 0 \ 1 \ 1 \ 1]$$

Example

McEliece: Decryption

- ▶ Step 1:
 - ▶ Compute $y'P^{-1} = mSGP^{-1} + eP^{-1}$

$$y' = [0 \ 0 \ 1 \ 0 \ 0 \ 1 \ 1 \ 1]$$

$$y'P^{-1} = [0 \ 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1]$$

$$P^{-1} = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$

Example

McEliece: Decryption

- ▶ Step 2:
 - ▶ Use Patterson's algorithm to find eP^{-1}
 - ▶ a) Compute the syndrome $s(x)$

$$y'P^{-1} = [0 \ 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1]$$

$$L = \{011, 100, 110, 001, 000, 101, 010, 111\}$$

$$\begin{aligned}s(x) &= \sum_{i=1}^n \frac{y_i}{x - \alpha_i} \bmod g(x) = \left(\frac{1}{x+110}\right) \bmod g(x) + \left(\frac{1}{x}\right) \bmod g(x) + \left(\frac{1}{x+101}\right) \bmod g(x) + \\&\quad \left(\frac{1}{010x+011}\right) \bmod g(x) = \\&= (x+110)^{-1} \bmod g(x) + (x)^{-1} \bmod g(x) + (x+101)^{-1} \bmod g(x) + (010x+011)^{-1} \bmod g(x) \\&= 110x + 1\end{aligned}$$

Example

McEliece: Decryption

- ▶ Step 2:
 - ▶ Use Patterson's algorithm to find eP^{-1}
 - ▶ b) Compute $v(x) \equiv \sqrt{s(x)^{-1} - x} \bmod g(x) = 110x + 111$
 - ▶ c) Find $a(x)$ and $b(x)$ so that $a(x) \equiv b(x)v(x) \bmod g(x)$ and $\deg(a) \leq \left\lfloor \frac{t}{2} \right\rfloor$ and $\deg(b) \leq \left\lfloor \frac{t-1}{2} \right\rfloor$: $a(x) = 110x + 111$, $b(x) = 1$
 - ▶ d) Set $\sigma(x) = a(x)^2 + x \cdot b(x)^2 = 10x^2 + x + 11$
 - ▶ e) Locate the errors by plugging in the values of L: they are at indices 1 and 3
 - ▶ $\sigma(011) = 001$
 - ▶ $\sigma(100) = 000$
 - ▶ $\sigma(110) = 001$
 - ▶ $\sigma(001) = 000$
 - ▶ $\sigma(000) = 011$
 - ▶ $\sigma(101) = 011$
 - ▶ $\sigma(010) = 010$
 - ▶ $\sigma(111) = 010$

Example

McEliece: Decryption

- ▶ Step 2:
 - ▶ Use Patterson's algorithm to find eP^{-1}

$$eP^{-1} = [0 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0]$$

- ▶ Add eP^{-1} to $y'P^{-1}$ to get $mSGPP^{-1} = mSG$

$$y'P^{-1} = [0 \ 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 1]$$

$$eP^{-1} = [0 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0]$$

$$mSG = eP^{-1} = [0 \ 1 \ 1 \ 1 \ 1 \ 1 \ 0 \ 1]$$

Example

McEliece: Decryption

- ▶ Step 3:
 - ▶ Find mS by row reducing $[G^T | (mSG)^T]$

$$[G^T | (mSG)^T] = \left[\begin{array}{ccc|c} 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \end{array} \right]$$

$$[G^T | (mSG)^T] \text{ reduced} = \left[\begin{array}{ccc|c} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right]$$

Example

McEliece: Decryption

- ▶ Step 4:
 - ▶ Find m by computing mSS^{-1}

$$mS = [0 \ 1]$$

$$S^{-1} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$m = mSS = [0 \ 1]$$

Example

Regev: Key Generation

- ▶ Step 1
 - ▶ Choose $n = 3$, $\epsilon = 0.5$. Set p to 10, then $m = 20$

- ▶ Step 2
 - ▶ Private key: choose $s = [2,7,3]$

- ▶ Step 3

- ▶ list of a :

$$a_1 = [1,0,2]$$

$$a_2 = [8,2,3]$$

$$a_3 = [1,6,3]$$

$$a_4 = [5,5,7]$$

$$a_5 = [2,0,4]$$

$$a_6 = [2,3,7]$$

$$a_7 = [8,5,2]$$

errors:

$$e_1 = 9$$

$$e_2 = 1$$

$$e_3 = 0$$

$$e_4 = 0$$

$$e_5 = 2$$

$$e_6 = 0$$

$$e_7 = 0$$

...

list of b :

$$b_1 = (1)(2) + (0)(7) + (2)(3) + 9 = 2+6+9=17 \text{ mod } 10 = 7$$

...

$$b_5 = (2)(2) + (0)(7) + (4)(3) + 2 = 4+12+2 = 18 \text{ mod } 10 = 8$$

...

$$b_7 = (8)(2) + (5)(7) + (2)(3) + 0 = 16+35+6 = 57 \text{ mod } 10 = 7$$

public key:

$$([1,0,2], 7)$$

...

$$([2,0,4], 8)$$

...

$$([8,5,2], 7)$$

...

Example Regev: Encryption

all arithmetic done modulo p

► Step 1

Choose $([1,0,2], 7)$
 $([2,0,4], 8)$
 $([8,5,2], 7)$

► Step 2

- Encrypt a 0: as $(\sum_{i \in S} a_i, \sum_{i \in S} b_i) = ([1,5,8], 2)$
- Encrypt a 1 as $(\sum_{i \in S} a_i, \left\lfloor \frac{p}{2} \right\rfloor + \sum_{i \in S} b_i) = ([1,5,8], 2 + 5) = ([1,5,8], 7)$

Example Regev: Decryption

all arithmetic done modulo p

- ▶ Step 1 private key $s = [2,7,3]$

- ▶ Decrypting the 0 where the ciphertext is $([1,5,8], 2)$:

- ▶ Compute $\vec{b} - \langle \vec{a}, \vec{s} \rangle = 2 - (1)(2) + (5)(3) + (8)(7) = 2 - 61 = 2 - 1 = 1$

- ▶ Decrypting the 1 where the ciphertext is $([1,5,8], 7)$:

- ▶ Compute $\vec{b} - \langle \vec{a}, \vec{s} \rangle = 7 - (1)(2) + (5)(3) + (8)(7) = 7 - 61 = 7 - 1 = 6$

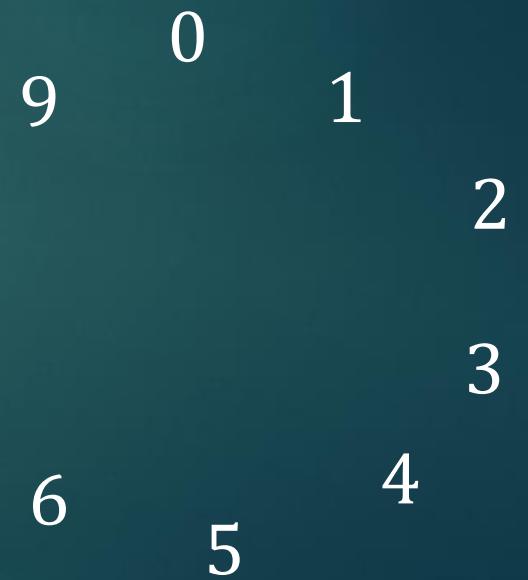
- ▶ Step 2

- ▶ Decrypting the 0:

- ▶ Is 1 closer to 0 or 5 on the circle? It is closer to 0 -> decrypt as 0

- ▶ Decrypting the 1:

- ▶ Is 6 closer to 0 or 5 on the circle? It is closer to 5 -> decrypt as 1



Implementation



Implementation McEliece

- ▶ Finite field $GF(2^m)$ arithmetic
- ▶ Finite field $GF(2^{mt})$ arithmetic
- ▶ Matrix operations
- ▶ Encrypting and decrypting operations
- ▶ System functionality

Implementation McEliece

- ▶ Finite field $GF(2^m)$ arithmetic
 - ▶ An element of $GF(2^m)$ is represented as a u64 in Rust
 - ▶ `0b00111`
 - ▶ Multiplication of the element by x is implemented by a left bit shift
 - ▶ Division of the element by x is implemented by a right bit shift

Implementation McEliece

- ▶ Finite field GF(2^m) arithmetic
 - ▶ Primary methods
 - ▶ add()
 - ▶ multiply()
 - ▶ reduce()
 - ▶ gcd()
 - ▶ inverse()
 - ▶ pow()
 - ▶ is_irreducible()
 - ▶ Helper methods
 - ▶ vec()
 - ▶ swap()
 - ▶ bit()
 - ▶ rz()
 - ▶ deg()
 - ▶ string()
 - ▶ shift_right()
 - ▶ shift_left
 - ▶ shift_right_i()
 - ▶ shift_left_i()
 - ▶ get_random_polynomial()
 - ▶ get_irreducible_polynomial()
 - ▶ create_L()

Implementation McEliece

- ▶ Finite field GF(2^m) arithmetic
 - ▶ Primary methods
 - ▶ add()
 - ▶ multiply()
 - ▶ reduce()
 - ▶ gcd()
 - ▶ inverse()
 - ▶ pow()
 - ▶ **is_irreducible()**

```
// Ben-Or irreducibility test pseudocode
for i:=1 to n/2 do
    g := gcd(f,  $x^{q^i} - x \bmod f$ );
    if g != 1 return false
return true

pub fn is_irreducible(f:u64) -> bool {
    let mut m = deg(f);
    let mut i = 0;
    let mut rhs = 2 as u64; // rhs = x
    rhs = multiply(rhs, rhs, f); // rhs = rhs^2 mod f
    i += 1;
    while i <= (m as f64/2 as f64).floor() as u64 {
        let mut rhs_plus_x = add(rhs, 2);
        let mut gcd = gcd(f, rhs_plus_x);
        if gcd != 1 {
            return false;
        }
        rhs = multiply(rhs, rhs, f); // rhs = rhs^2 mod f
        i += 1;
    }
    return true;
}
```

Implementation McEliece

- ▶ Finite field $\text{GF}(2^{mt})$ arithmetic
 - ▶ An element of $\text{GF}(2^{mt})$ is represented as a vector of $u64$ s
 - ▶

001	011	...	110
t-1	t-2	...	0
 - ▶ Multiplication of the element by x is implemented by a left bit shift
 - ▶ Where the left bit shift is implemented as inserting a 0 at index 0
 - ▶ Division of the element by x is implemented by a right bit shift
 - ▶ Where the right bit shift is implemented as removing the element at index 0

Implementation McEliece

- ▶ Finite field GF(2^{mt}) arithmetic
 - ▶ Primary methods
 - ▶ add()
 - ▶ gcd()
 - ▶ inverse()
 - ▶ reduce()
 - ▶ square()
 - ▶ sqrt()
 - ▶ is_irreducible()
 - ▶ Helper methods
 - ▶ get_bx_ax()
 - ▶ multiply_by_constant()
 - ▶ is_one()
 - ▶ is_constant()
 - ▶ is_zero()
 - ▶ shift_left()
 - ▶ shift_right()
 - ▶ shift_left_i()
 - ▶ shift_right_i()
 - ▶ deg()
 - ▶ string()
 - ▶ compute()
 - ▶ get_random_polynomial()
 - ▶ get_irreducible_polynomial()

Implementation McEliece

- ▶ Finite field GF(2^{mt}) arithmetic
 - ▶ Primary methods
 - ▶ add()
 - ▶ gcd()
 - ▶ inverse()
 - ▶ reduce()
 - ▶ square()
 - ▶ sqrt()
 - ▶ **is_irreducible()**

```
// Ben-Or irreducibility test pseudocode
for i:=1 to n/2 do
    g := gcd(f,  $x^{q^i} - x \bmod f$ );
    if g != 1 return false
return true
```

```
pub fn is_irreducible(g:&Vec<u64>, f:u64) -> bool {
    let mut t = deg(&g);
    let mut m = f1::deg(f);
    let mut rhs = vec![0,1]; // rhs = x
    let t_over_2 = (t as f64/2 as f64).floor() as u64;
    let mut j = 0;
    for i in 0..m { // square rhs m times
        rhs = square(&rhs, &g, f);
        reduce(&mut rhs, &g, f);
        exp *= 2;
    }
    j += 1;
    while j <= t_over_2 {
        let mut rhs_plus_x = add(&mut rhs, &vec![0 as u64, 1 as u64]);
        let mut gcd = gcd(&g, &rhs_plus_x, f);
        if !is_one(&gcd) {
            return false;
        }
        for i in 0..m { // square rhs m times
            rhs = square(&rhs, &g, f);
            reduce(&mut rhs, &g, f);
        }
        j += 1;
    }
    return true;
}
```

Implementation McEliece

- ▶ Matrix operations
 - ▶ Primary methods
 - ▶ generator()
 - ▶ dense_nonsingular_matrix()
 - ▶ permutation_matrix()
 - ▶ multiply()
 - ▶ x()
 - ▶ y()
 - ▶ z()
 - ▶ nullspace_t()
 - ▶ Helper methods
 - ▶ rref_for_decrypt()
 - ▶ rref_mod_2()
 - ▶ mod2()
 - ▶ mod2_matrix()
 - ▶ row_is_zero()
 - ▶ is_col()
 - ▶ find_col()
 - ▶ append()
 - ▶ binary_matrix()

Implementation McEliece

- ▶ Encrypting and decrypting operations
 - ▶ Primary methods
 - ▶ encrypt()
 - ▶ decrypt()
 - ▶ error_vector()
 - ▶ sigma()
 - ▶ syndrome()
 - ▶ choose_error_vector()

Implementation McEliece

- ▶ System functionality
 - ▶ Primary methods
 - ▶ `create_system()`
 - ▶ `initialization_vector()`
 - ▶ `encrypt()`
 - ▶ `decrypt()`
 - ▶ Structs
 - ▶ `public_key`
 - ▶ `private_key`
 - ▶ Helper methods
 - ▶ `matrix()`
 - ▶ `matrix_blocks_into_vec()`
 - ▶ `vec_into_matrix_blocks()`
 - ▶ `xor_matrix()`

Implementation McEliece

- ▶ System functionality
 - ▶ Primary methods
 - ▶ create_system()
 - ▶ initialization_vector()
 - ▶ encrypt()
 - ▶ decrypt()
 - ▶ Structs
 - ▶ **public_key**
 - ▶ **private_key**
 - ▶ Helper methods
 - ▶ matrix()
 - ▶ matrix_blocks_into_vec()
 - ▶ vec_into_matrix_blocks()
 - ▶ xor_matrix()

```
pub struct public_key {  
    generator: Matrix,  
    length: u64,  
    dimension: u64,  
    t: u64  
}  
  
pub struct private_key {  
    S: Matrix,  
    G: Matrix,  
    P: Matrix,  
    L: Vec<u64>,  
    g: Vec<u64>,  
    f: u64,  
    length: u64,  
    dimension: u64  
}
```

Implementation Regev

- ▶ One file
 - ▶ Primary methods
 - ▶ create_system()
 - ▶ draw_vector_from_Znp()
 - ▶ get_list()
 - ▶ inner_product()
 - ▶ draw_element_from_X()
 - ▶ alpha()
 - ▶ encrypt_bit()
 - ▶ choose_subset()
 - ▶ add_vector()
 - ▶ decrypt_bit()
 - ▶ circular_distance()
 - ▶ Structs
 - ▶ public_key
 - ▶ private_key
 - ▶ pair
 - ▶ Helper Methods
 - ▶ all_possible_messages()
 - ▶ vec()
 - ▶ is_equal()
 - ▶ encrypt_vector()
 - ▶ decrypt_vector()
 - ▶ encrypt()
 - ▶ decrypt()

Implementation Regev

- ▶ Structs
 - ▶ pair
 - ▶ public_key
 - ▶ private_key

```
pub struct pair {  
    pub a: Vec<u64>,  
    pub b: u64  
}
```

```
pub struct public_key {  
    pub m: u64,  
    pub n: u64,  
    pub p: u64,  
    pub list: Vec<pair>  
}
```

```
pub struct private_key {  
    pub s: Vec<u64>,  
    pub p: u64  
}
```

Implementation

Regev

- ▶ Primary methods
 - ▶ `create_system()`
 - ▶ `draw_vector_from_Znp()`
 - ▶ `get_list()`
 - ▶ `inner_product()`
 - ▶ `draw_element_from_X()`
 - ▶ `alpha()`
 - ▶ `encrypt_bit()`
 - ▶ `choose_subset()`
 - ▶ `add_vector()`
 - ▶ `decrypt_bit()`
 - ▶ **circular_distance()**
- ```
fn circular_distance(point:u64, target:u64, modulus:u64) -> u64
 let diff = (point as i64 - target as i64).abs() as u64;
 return std::cmp::min(diff, modulus-diff);
```

# Tests



# Tests

## McEliece

- ▶ Finding an irreducible polynomial
- ▶ For degree  $n$  should take  $n$  tries

# Tests

## McEliece

- ▶ Finding an irreducible polynomial
- ▶ For degree  $n$  should take  $n$  tries
- ▶ For polynomial over GF(2), idea holds for up to  $n = 50$

```
average tries for polynomial of degree 1: 0
average tries for polynomial of degree 2: 3
average tries for polynomial of degree 3: 3
average tries for polynomial of degree 4: 6
average tries for polynomial of degree 5: 4
average tries for polynomial of degree 6: 7
average tries for polynomial of degree 7: 7
average tries for polynomial of degree 8: 8
average tries for polynomial of degree 9: 9
average tries for polynomial of degree 10: 8
$
```

# Tests

## McEliece

McEliece suggested  $m = 10, t = 50$

- ▶ Finding an irreducible polynomial
- ▶ For degree  $n$  should take  $n$  tries
- ▶ For polynomial over  $GF(2^3)$ :
  - ▶  $t = 2$ : 10 tries on average
  - ▶  $t = 3$ : 20 tries on average
  - ▶  $t = 4$ : 52 tries on average
  - ▶  $t = 5$ : 216 tries on average
- ▶ Found maximum parameters
- ▶ Standalone test:
  - ▶  $t = 5, m = 10$
  - ▶ Tested 500,000 polynomials and never found one

|  | <b>m</b> | <b>max t</b> | <b>t</b> | <b>max m</b> |
|--|----------|--------------|----------|--------------|
|  | 1        | 2            | 2        | 14           |
|  | 2        | 3            | 3        | 14           |
|  | 3        | 9            | 4        | --           |
|  | 4        | 9            |          |              |
|  | 5        | 7            |          |              |
|  | 6        | --           |          |              |

-- took more than 30 seconds

# Tests McEliece

| 1  | (2,1) | 11 | (8,6)  | 21 | (16,7) | 31 | (2,2)    | 41 | (2048,2)  | 51 | (128,3)   |
|----|-------|----|--------|----|--------|----|----------|----|-----------|----|-----------|
| 2  | (2,2) | 12 | (8,7)  | 22 | (16,8) | 32 | (4,2)    | 42 | (4096,2)  | 52 | (256,3)   |
| 3  | (4,1) | 13 | (8,8)  | 23 | (16,9) | 33 | (8,2)    | 43 | (8192,2)  | 53 | (512,3)   |
| 4  | (4,2) | 14 | (8,9)  | 24 | (32,1) | 34 | (16,2)   | 44 | (16384,2) | 54 | (1024,3)  |
| 5  | (4,3) | 15 | (16,1) | 25 | (32,2) | 35 | (32,2)   | 45 | (2,3)     | 55 | (2048,3)  |
| 6  | (8,1) | 16 | (16,2) | 26 | (32,3) | 36 | (64,2)   | 46 | (4,3)     | 56 | (4096,3)  |
| 7  | (8,2) | 17 | (16,3) | 27 | (32,4) | 37 | (128,2)  | 47 | (8,3)     | 57 | (8192,3)  |
| 8  | (8,3) | 18 | (16,4) | 28 | (32,5) | 38 | (256,2)  | 48 | (16,3)    | 58 | (16384,3) |
| 9  | (8,4) | 19 | (16,5) | 29 | (32,6) | 39 | (512,2)  | 49 | (32,3)    | 59 |           |
| 10 | (8,5) | 20 | (16,6) | 30 | (32,7) | 40 | (1024,2) | 50 | (64,3)    | 60 |           |

All possible values of  $(n,t)$ .

# Tests

## McEliece

| 1  | (2,1) | 11 | (8,6)  | 21 | (16,7) | 31 | (2,2)    | 41 | (2048,2)  | 51 | (128,3)   |
|----|-------|----|--------|----|--------|----|----------|----|-----------|----|-----------|
| 2  | (2,2) | 12 | (8,7)  | 22 | (16,8) | 32 | (4,2)    | 42 | (4096,2)  | 52 | (256,3)   |
| 3  | (4,1) | 13 | (8,8)  | 23 | (16,9) | 33 | (8,2)    | 43 | (8192,2)  | 53 | (512,3)   |
| 4  | (4,2) | 14 | (8,9)  | 24 | (32,1) | 34 | (16,2)   | 44 | (16384,2) | 54 | (1024,3)  |
| 5  | (4,3) | 15 | (16,1) | 25 | (32,2) | 35 | (32,2)   | 45 | (2,3)     | 55 | (2048,3)  |
| 6  | (8,1) | 16 | (16,2) | 26 | (32,3) | 36 | (64,2)   | 46 | (4,3)     | 56 | (4096,3)  |
| 7  | (8,2) | 17 | (16,3) | 27 | (32,4) | 37 | (128,2)  | 47 | (8,3)     | 57 | (8192,3)  |
| 8  | (8,3) | 18 | (16,4) | 28 | (32,5) | 38 | (256,2)  | 48 | (16,3)    | 58 | (16384,3) |
| 9  | (8,4) | 19 | (16,5) | 29 | (32,6) | 39 | (512,2)  | 49 | (32,3)    | 59 |           |
| 10 | (8,5) | 20 | (16,6) | 30 | (32,7) | 40 | (1024,2) | 50 | (64,3)    | 60 |           |

constraint:  $2^m > mt$   
 where  $n = 2^m$

# Tests

McFierce

dnw = did not wait

| set # | (n,t)  | # msgs | # errs | total | set # | (n,t)     | # msgs | # errs | total | set # | (n,t)    | # msgs | # errs | total |
|-------|--------|--------|--------|-------|-------|-----------|--------|--------|-------|-------|----------|--------|--------|-------|
| 7     | (8,2)  | 100    | 10     | 1000  | 35    | (32,2)    | 100    | 10     | 1000  | 48    | (16,3)   | 100    | 10     | 1000  |
| 16    | (16,2) | 100    | 10     | 1000  | 36    | (64,2)    | 100    | 10     | 1000  | 49    | (32,3)   | 100    | 10     | 1000  |
| 17    | (16,3) | 100    | 10     | 1000  | 37    | (128,2)   | 10     | 1      | 10    | 50    | (64,3)   | 100    | 10     | 1000  |
| 25    | (32,2) | 100    | 10     | 1000  | 38    | (256,2)   | 10     | 1      | 10    | 51    | (128,3)  | 1      | 1      | 1     |
| 26    | (32,3) | 100    | 10     | 1000  | 39    | (512,2)   | 1      | 1      | 1     | 52    | (256,3)  | 1      | 1      | 1     |
| 27    | (32,4) | 100    | 10     | 1000  | 40    | (1024,2)  | 1      | 1      | 1     | 53    | (512,3)  | 1      | 1      | 1     |
| 28    | (32,5) | 100    | 10     | 1000  | 41    | (2048,2)  | dnw    |        |       | 54    | (1024,3) | dnw    |        |       |
| 29    | (32,6) | 100    | 10     | 1000  | 42    | (4096,2)  | dnw    |        |       | 55    | (2048,3) | dnw    |        |       |
| 33    | (8,2)  | 100    | 10     | 1000  | 43    | (8192,2)  | dnw    |        |       | 56    | (4096,3) | dnw    |        |       |
| 34    | (16,2) | 100    | 10     | 1000  | 44    | (16384,2) | dnw    |        |       | 57    | (8192,3) | dnw    |        |       |

All possible values of (n,t).

# Tests

## McEliece

```
(n,t) = (16,3)
1 tries to find irreducible f
26 tries to find irreducible g
f = 0b0010011
g = x^3: 0b001
x^2: 0b001111
x^1: 0b001101
x^0: 0b00110
length = 16, dimension = 4
test 48 results:
 1000/1000 correct, 0/1000 incorrect
```

```
(n,t) = (32,3)
5 tries to find irreducible f
64 tries to find irreducible g
f = 0b00100101
g = x^3: 0b001
x^2: 0b0011011
x^1: 0b0011111
x^0: 0b00110
length = 32, dimension = 17
test 49 results:
 1000/1000 correct, 0/1000 incorrect
```

# Tests

## McEliece

```
(n,t) = (64,3)
0 tries to find irreducible f
502 tries to find irreducible g
f = 0b0001100001
g = x^3: 0b0001
x^2: 0b00100110
x^1: 0b00101010
x^0: 0b00101000
length = 64, dimension = 46
test 50 results:
 1000/1000 correct, 0/1000 incorrect
```

```
(n,t) = (512,3)
1 tries to find irreducible f
1563 tries to find irreducible g
f = 0b0001010111101
g = x^3: 0b0001
x^2: 0b000100101101
x^1: 0b000111000110
x^0: 0b00101100
length = 512, dimension = 485
test 53 results:
 1/1 correct, 0/1 incorrect
```

# Tests

## McEliece

- ▶ (8,2) ▶  $2^2$  characters
- ▶ (16,2) ▶  $2^4$  characters
- ▶ (16,3) ▶  $2^6$  characters
- ▶ (32,2) ▶  $2^8$  characters
- ▶ (32,3) ▶  $2^{10}$  characters
- ▶  $2^{12}$  characters
- ▶  $2^{14}$  characters

1801. - I have just returned from a visit to my landlord - the solitary neighbour that I shall be troubled with. This is certainly a beautiful country! In all England, I do not believe that I could have fixed on a situation so completely removed from the stir of society. A perfect misanthropists heaven: and Mr. Heathcliff and I are such a suitable pair to fill it, that I am quite satisfied with our mutual selection.

# Tests

# McEliece

# Tests

# Regev

# Tests

## Regev

- ▶ Arbitrary initial tests:  $(n, E) = (5, 0.5)$ ,  $\chi = \overline{\Psi}_{\alpha(n)}$ 
  - ▶ case 1:  $p = 4, m = 6$
  - ▶ case 2:  $p = 5, m = 3$
  - ▶ case 3:  $p = 6, m = 4$
- ▶ Initial results on  $2^{10}$  messages each:
  - ▶ Average correct over 100 tests:
    - ▶ case 1: 1022/1024
    - ▶ case 2: 1020/1024
    - ▶ case 3: 1023/1024
  - ▶ Average incorrect over 100 tests:
    - ▶ case 1: 1/1024
    - ▶ case 2: 3/1024
    - ▶ case 3: 0/1024

# Tests

# Regev

```
iteration 92: correct = 1018/1024
iteration 92: incorrect = 6/1024

iteration 93: correct = 1018/1024
iteration 93: incorrect = 6/1024

iteration 94: correct = 1019/1024
iteration 94: incorrect = 5/1024

iteration 95: correct = 1022/1024
iteration 95: incorrect = 2/1024

iteration 96: correct = 1020/1024
iteration 96: incorrect = 4/1024

iteration 97: correct = 1021/1024
iteration 97: incorrect = 3/1024

iteration 98: correct = 1022/1024
iteration 98: incorrect = 2/1024

iteration 99: correct = 1018/1024
iteration 99: incorrect = 6/1024

average correct over 100 tests = 1020/1024
average incorrect over 100 tests = 3/1024
$
```

# Tests Regev

- ▶ Parameter Constraints, for input  $(n, \epsilon)$ :
  - ▶  $n^2 \leq p \leq 2n^2$
  - ▶  $m = (1 + \epsilon)(n + 1) \log_2 p$
- ▶ Arbitrary initial tests:  $E=0.5, \chi = \overline{\Psi}_{\alpha(n)}$ 
  - ▶ case 1:  $n=10, p = 164, m = 121$
  - ▶ case 2:  $n=12, p = 248, m = 155$
  - ▶ case 3:  $n=15, p = 345, m = 202$
- ▶ Initial results on  $2^{10}$  messages each:
  - ▶ Average correct over 10 tests:
    - ▶ case 1: 637/1024
    - ▶ case 2: 186/1024
    - ▶ case 3: 358/1024
  - ▶ Average incorrect over 10 tests:
    - ▶ case 1: 386/1024
    - ▶ case 2: 837/1024
    - ▶ case 3: 665/2024

# Tests Regev

public key: Suppose  $m = 10$

$$[\vec{a}_1, b_1] = [\vec{a}_1, \langle \vec{a}_1, \vec{s} \rangle + e_1]$$

$$[\vec{a}_2, b_2] = [\vec{a}_2, \langle \vec{a}_2, \vec{s} \rangle + e_2]$$

$$[\vec{a}_3, b_3] = [\vec{a}_3, \langle \vec{a}_3, \vec{s} \rangle + e_3]$$

$$[\vec{a}_4, b_4] = [\vec{a}_4, \langle \vec{a}_4, \vec{s} \rangle + e_4]$$

$$[\vec{a}_5, b_5] = [\vec{a}_5, \langle \vec{a}_5, \vec{s} \rangle + e_5]$$

$$[\vec{a}_6, b_6] = [\vec{a}_6, \langle \vec{a}_6, \vec{s} \rangle + e_6]$$

$$[\vec{a}_7, b_7] = [\vec{a}_7, \langle \vec{a}_7, \vec{s} \rangle + e_7]$$

$$[\vec{a}_8, b_8] = [\vec{a}_8, \langle \vec{a}_8, \vec{s} \rangle + e_8]$$

$$[\vec{a}_9, b_9] = [\vec{a}_9, \langle \vec{a}_9, \vec{s} \rangle + e_9]$$

$$[\vec{a}_{10}, b_{10}] = [\vec{a}_{10}, \langle \vec{a}_{10}, \vec{s} \rangle + e_{10}]$$

encrypting a 0:

$$[\vec{a}_2, b_2] = [\vec{a}_2, \langle \vec{a}_2, \vec{s} \rangle + e_2]$$

$$[\vec{a}_5, b_5] = [\vec{a}_5, \langle \vec{a}_5, \vec{s} \rangle + e_5]$$

$$+ [\vec{a}_6, b_6] = [\vec{a}_6, \langle \vec{a}_6, \vec{s} \rangle + e_6] \quad +e_2 + e_5 + e_6$$

$$\overline{(\vec{a}, b)} = [\vec{a}_{2+5+6}, b_{2+5+6}] = [\vec{a}_{2+5+6}, (\langle \vec{a}_2, \vec{s} \rangle + \langle \vec{a}_5, \vec{s} \rangle + \langle \vec{a}_6, \vec{s} \rangle)] \quad \textcolor{red}{\Lambda}$$

encrypting a 1:

$$[\vec{a}_2, b_2] = [\vec{a}_2, \langle \vec{a}_2, \vec{s} \rangle + e_2]$$

$$[\vec{a}_5, b_5] = [\vec{a}_5, \langle \vec{a}_5, \vec{s} \rangle + e_5]$$

$$+ [\vec{a}_6, b_6] = [\vec{a}_6, \langle \vec{a}_6, \vec{s} \rangle + e_6] \quad +e_2 + e_5 + e_6$$

$$\overline{(\vec{a}, b)} = [\vec{a}_{2+5+6}, b_{2+5+6} + \left\lfloor \frac{p}{2} \right\rfloor] = [\vec{a}_{2+5+6}, (\langle \vec{a}_2, \vec{s} \rangle + \langle \vec{a}_5, \vec{s} \rangle + \langle \vec{a}_6, \vec{s} \rangle) + \left\lfloor \frac{p}{2} \right\rfloor] \quad \textcolor{red}{\Lambda}$$

# Tests

## Regev

- ▶ Using the equality  $\langle \vec{a}_2, \vec{s} \rangle + \langle \vec{a}_5, \vec{s} \rangle + \langle \vec{a}_6, \vec{s} \rangle = \langle \vec{a}_{2+5+6}, \vec{s} \rangle$

- ▶ decrypting the 0 with no error:

$$b - \langle \vec{a}, \vec{s} \rangle = \langle \vec{a}_{2+5+6}, \vec{s} \rangle - \langle \vec{a}_{2+5+6} \vec{s} \rangle = 0$$

- ▶ decrypting the 1 with no error:

$$b - \langle \vec{a}, \vec{s} \rangle = \langle \vec{a}_{2+5+6}, \vec{s} \rangle - \langle \vec{a}_{2+5+6} \vec{s} \rangle + \left\lfloor \frac{p}{2} \right\rfloor = \left\lfloor \frac{p}{2} \right\rfloor$$

# Tests

## Regev

- ▶ Using the equality  $\langle \vec{a}_2, \vec{s} \rangle + \langle \vec{a}_5, \vec{s} \rangle + \langle \vec{a}_6, \vec{s} \rangle = \langle \vec{a}_{2+5+6}, \vec{s} \rangle$

- ▶ decrypting the 0 with some error:

$$b - \langle \vec{a}, \vec{s} \rangle = \langle \vec{a}_{2+5+6}, \vec{s} \rangle - (\langle \vec{a}_{2+5+6} \vec{s} \rangle + e_2 + e_5 + e_6) = e_2 + e_5 + e_6$$

- ▶ decrypting the 1 with some error:

$$b - \langle \vec{a}, \vec{s} \rangle = \langle \vec{a}_{2+5+6}, \vec{s} \rangle - (\langle \vec{a}_{2+5+6} \vec{s} \rangle + \left\lfloor \frac{p}{2} \right\rfloor + e_2 + e_5 + e_6) = \left\lfloor \frac{p}{2} \right\rfloor e_2 + e_5 + e_6$$

# Tests Regev

Recall: what “closer to 0 than to  $\text{floor}(p/2)$ ” modulo p means

Suppose  $p = 10$ ,  $\left\lfloor \frac{p}{2} \right\rfloor = 5$



# Tests Regev

Decrypting a 0:

$$b - \langle \vec{a}, \vec{s} \rangle = \langle \vec{a}_{2+5+6}, \vec{s} \rangle - (\langle \vec{a}_{2+5+6} \vec{s} \rangle + +e_2 + e_5 + e_6) = +e_2 + e_5 + e_6$$

Decrypting a 1:

$$b - \langle \vec{a}, \vec{s} \rangle = \langle \vec{a}_{2+5+6}, \vec{s} \rangle - (\langle \vec{a}_{2+5+6} \vec{s} \rangle + \left\lfloor \frac{p}{2} \right\rfloor + +e_2 + e_5 + e_6) = \left\lfloor \frac{p}{2} \right\rfloor + e_2 + e_5 + e_6$$

0 1 2 3 4 5 6 7 8 9

If  $x = e_2 + e_5 + e_6$  then we can see that in order for a bit to decrypt correctly,

$x \bmod p = 0, 1 \text{ or } 2$  so that the distance will not be closer to the other number

Or

$x \bmod p = 8 \text{ or } 9$  so that it will loop back around and still be closer to the right number

# Tests Regev

- ▶ The acceptable error range becomes:

- ▶  $0 \leq \sum_{i \in S} e_i \bmod p \leq \left\lfloor \frac{\binom{p}{2}}{2} \right\rfloor$

- ▶ Or

- ▶  $p - \left\lfloor \frac{\binom{p}{2}}{2} \right\rfloor \leq \sum_{i \in S} e_i \bmod p \leq p - 1$

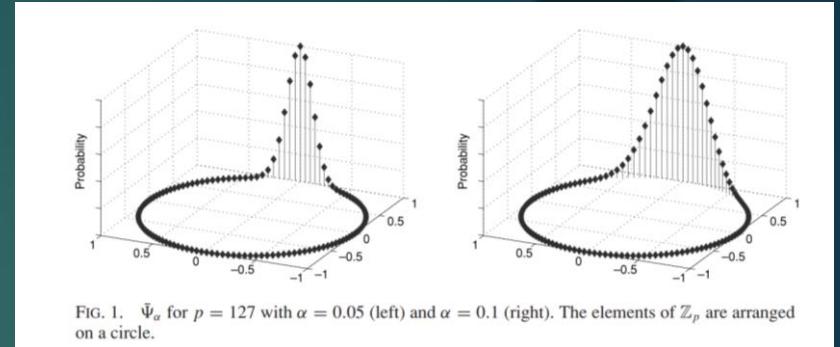


FIG. 1.  $\Psi_\alpha$  for  $p = 127$  with  $\alpha = 0.05$  (left) and  $\alpha = 0.1$  (right). The elements of  $\mathbb{Z}_p$  are arranged on a circle.

# Tests

## Regev

- ▶ Informal testing:

```
n = 10
p = 199
m = 126
acceptable error_sum range 1: [0, 49]
acceptable error_sum range 2: [150, 198]
error_sum % p = 5: bit '0' decrypted correctly
error_sum % p = 29: bit '1' decrypted correctly
error_sum % p = 193: bit '0' decrypted correctly
error_sum % p = 13: bit '1' decrypted correctly
error_sum % p = 59: bit '0' decrypted incorrectly
error_sum % p = 20: bit '1' decrypted correctly
error_sum % p = 29: bit '0' decrypted correctly
error_sum % p = 20: bit '1' decrypted correctly
error_sum % p = 15: bit '0' decrypted correctly
error_sum % p = 11: bit '1' decrypted correctly
$ _
```

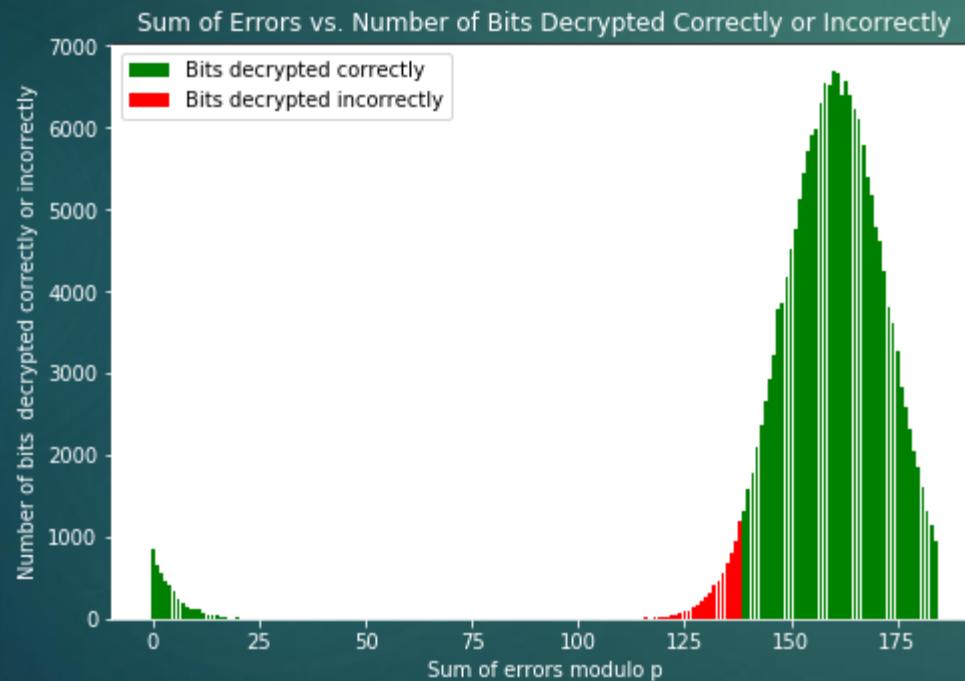
# Tests Regev

- More thorough testing:

$n = 10, p = 185, m = 124$

acceptable error\_sum range 1: [0, 46]

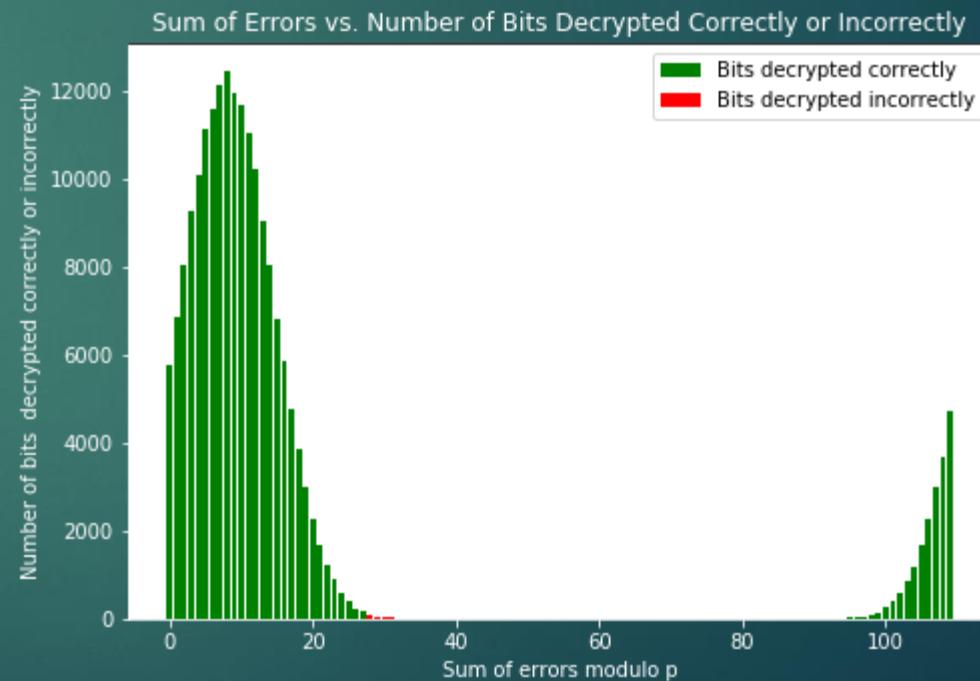
acceptable error\_sum range 2: [139, 184]



$n = 10, p = 110, m = 112$

acceptable error\_sum range 1: [0, 27]

acceptable error\_sum range 2: [83, 109]



# Tests

## Regev

- ▶ Testing per bit: correct = 99.14%, incorrect = 0.86%

```
p = 123
m = 115
correct = 19260/20000
incorrect = 740/20000
n = 10
p = 173
m = 123
correct = 19999/20000
incorrect = 1/20000
n = 10
p = 187
m = 125
correct = 19998/20000
incorrect = 2/20000
n = 10
p = 190
m = 125
correct = 19975/20000
incorrect = 25/20000
n = 10
p = 193
m = 125
correct = 19908/20000
incorrect = 92/20000
average correct bits: 19828/20000
average incorrect bits: 172/20000
$
```

# Experiments



# Experiments

- ▶ What we hope to accomplish in the experiments
- ▶ Choosing an x-axis
- ▶ Experiment 1: Work Factor vs. Public Key Size
- ▶ Experiment 2: Work Factor vs. Key Generation Speed
- ▶ Experiment 3: Work Factor vs. Encryption Speed
- ▶ Experiment 4: Work Factor vs. Decryption Speed
- ▶ Experiment 5: Work Factor vs. Encrypted Message Size

# Experiments

- ▶ What we hope to accomplish

# Experiments

- ▶ Choosing an x-axis

# Experiments

## ► Choosing an x-axis

|                      | Work factor | McEliece<br>$O(n!)$ Attack | McEliece<br>$O(2^n)$ Attack | Regev<br>$O(2^n)$ Attack | Regev<br>$O(2^{n\log n})$ Attack | RSA Attack<br>$O(2^n)$ |
|----------------------|-------------|----------------------------|-----------------------------|--------------------------|----------------------------------|------------------------|
| security parameters: |             | (n, k)                     | (n, k)                      | (n, E)                   | (n, E)                           | n                      |
|                      | $2^1$       | $(2,2) = (2,2)$            | $(1,2)$                     | $(1,0.5)$                | $(2,0.5)$                        | 1                      |
|                      | $2^2$       | $(2,2) = (2,2)$            | $(2,2)$                     | $(2,0.5)$                | $(2,0.5)$                        | 2                      |
|                      | $2^4$       | $(3,2) = (4,2)$            | $(4,2)$                     | $(4,0.5)$                | $(3,0.5)$                        | 4                      |
|                      | $2^8$       | $(5,2) = (8,2)$            | $(8,2)$                     | $(8,0.5)$                | $(4,0.5)$                        | 8                      |
|                      | $2^{16}$    | $(8,2)$                    | $(16,2)$                    | $(16,0.5)$               | $(7,0.5)$                        | 16                     |
|                      | $2^{32}$    | $(13,2) = (16,2)$          | $(32,2)$                    | $(32,0.5)$               | $(10,0.5)$                       | 32                     |
|                      | $2^{64}$    | $(20,2) = (32,2)$          | $(64,2)$                    | $(64,0.5)$               | $(16,0.5)$                       | 64                     |
|                      | $2^{128}$   | $(34,2) = (64,2)$          | $(128,2)$                   | $(128,0.5)$              | $(27,0.5)$                       | 128                    |
|                      | $2^{256}$   | $(57,2) = (64,2)$          | $(256,2)$                   | $(256,0.5)$              | $(47,0.5)$                       | 256                    |
|                      | $2^{512}$   | $(98,2) = (128,2)$         | $(512,2)$                   | $(512,0.5)$              | $(81,0.5)$                       | 512                    |
|                      | $2^{1024}$  | $(171,2) = (256,2)$        | $(1024,2)$                  | $(1024,0.5)$             | $(160,0.5)$                      | 1024                   |
|                      | $2^{2048}$  | $(301,2) = (512,2)$        | $(2048,2)$                  | $(2048,0.5)$             | $(318,0.5)$                      | 2048                   |

# Experiments

## 1. Work Factor vs. Public Key Size

- ▶ Hypothesis:

|            | McEliece | Regev                     | RSA    |
|------------|----------|---------------------------|--------|
| public key | $O(n^2)$ | $O(mn) = O(n^2 \log n^2)$ | $O(n)$ |

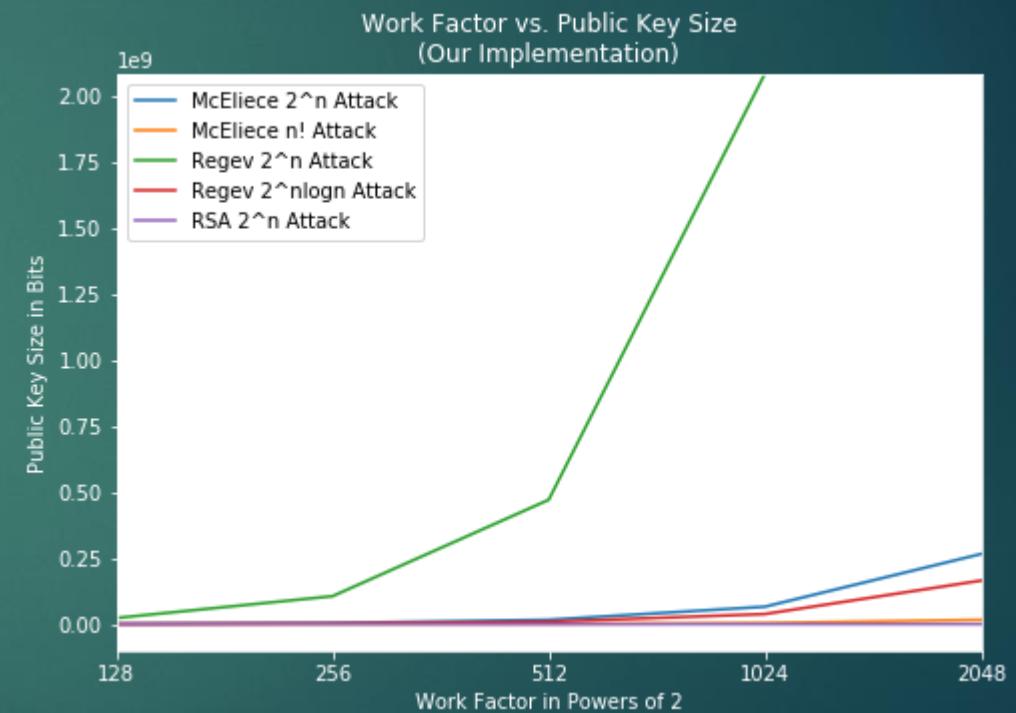
# Experiments

## 1. Work Factor vs. Public Key Size

- ▶ Results (our implementation):

■ < 1 Kb

|                 | Work factor | McEliece<br>$O(n!)$<br>Attack | McEliece<br>$O(2^n)$<br>Attack | Regev<br>$O(2^n)$<br>Attack | Regev<br>$O(2^{n\log n})$<br>Attack | RSA<br>$O(2^n)$<br>Attack |
|-----------------|-------------|-------------------------------|--------------------------------|-----------------------------|-------------------------------------|---------------------------|
| public key size |             | bits                          | bits                           | bits                        | bits                                | bits                      |
| $2^1$           |             |                               |                                |                             | 1728                                |                           |
| $2^2$           |             |                               |                                | 1472                        | 1728                                |                           |
| $2^4$           |             |                               |                                | 8128                        | 4800                                |                           |
| $2^8$           | 1216        | 1216                          |                                | 48320                       | 7872                                |                           |
| $2^{16}$        | 1216        |                               | 8384                           | 234688                      | 35136                               |                           |
| $2^{32}$        | 8384        |                               | 45248                          | 1034432                     | 79552                               |                           |
| $2^{64}$        | 45248       |                               | 213184                         | 4968640                     | 222400                              |                           |
| $2^{128}$       | 213184      |                               | 934080                         | 23019712                    | 732864                              | 192                       |
| $2^{256}$       | 213184      |                               | 3932352                        | 105545920                   | 2614144                             | 320                       |
| $2^{512}$       | 934080      |                               | 16187584                       | 470614208                   | 8719680                             | 576                       |
| $2^{1024}$      | 3932352     |                               | 65798336                       | 2084700352                  | 37970112                            | 1088                      |
| $2^{2048}$      | 16187584    |                               | 265552064                      | 8989442240                  | 164810688                           | 2112                      |



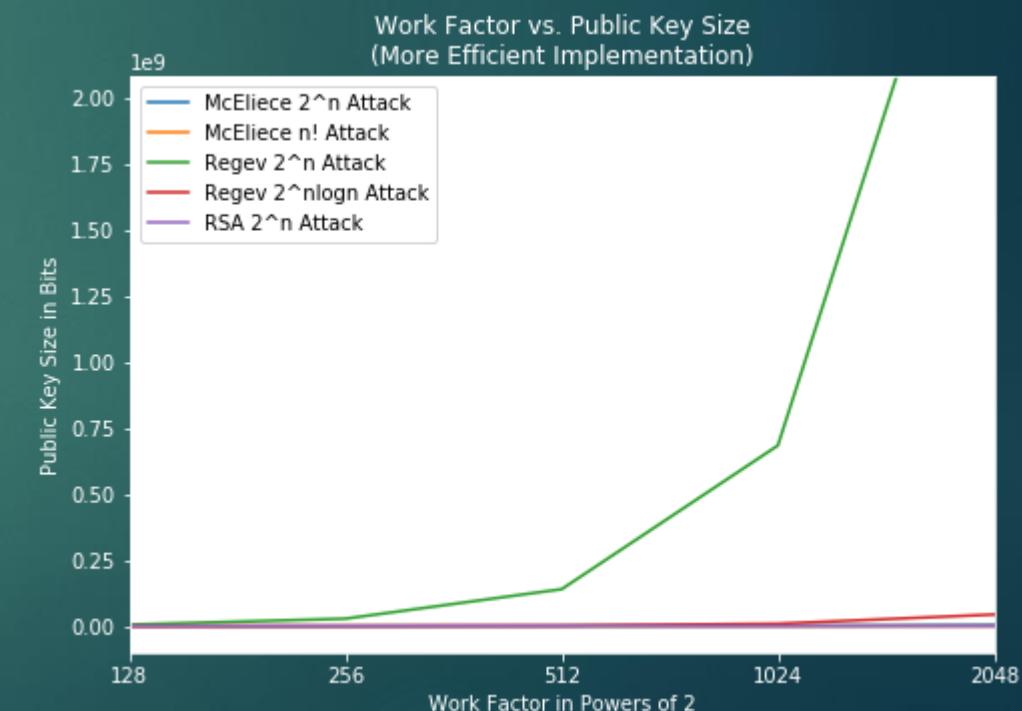
# Experiments

## 1. Work Factor vs. Public Key Size

- Results (more efficient implementation):   < 1 Kb

|                 | Work factor | McEliece $O(n!)$ Attack | McEliece $O(2^n)$ Attack | Regev $O(2^n)$ Attack             | Regev $O(2^{n\log n})$ Attack | RSA $O(2^n)$ Attack |
|-----------------|-------------|-------------------------|--------------------------|-----------------------------------|-------------------------------|---------------------|
| public key size |             | bits                    | bits                     | bits                              | bits                          | bits                |
| $2^1$           |             |                         |                          |                                   | $24*3+192=264$                |                     |
| $2^2$           |             |                         |                          | $20 * 3 + 192=252$                | $24*3+192=264$                |                     |
| $2^4$           |             |                         |                          | $124 * 5 + 192=812$               | $72*4+192=480$                |                     |
| $2^8$           | 208         | 208                     |                          | $752 * 7 + 192=5456$              | $120*5+192=792$               |                     |
| $2^{16}$        | 208         | 320                     |                          | $3664 * 9 + 192=33168$            | $546*6+192=3468$              |                     |
| $2^{32}$        | 320         | 896                     |                          | $16160 * 11 + 192=177952$         | $1240*7+192=8872$             |                     |
| $2^{64}$        | 896         | 3520                    |                          | $77632 * 13 + 192=1009408$        | $3472*9+192=31440$            |                     |
| $2^{128}$       | 3520        | 14784                   |                          | $359680 * 15 + 192=5395392$       | $11448*10+192=14672$          | 192                 |
| $2^{256}$       | 3520        | 61632                   |                          | $1649152 * 17 + 192=28035317$     | $40843*12+192=90308$          | 320                 |
| $2^{512}$       | 14784       | 253120                  |                          | $7353344 * 19 + 192=139713728$    | $136242*13+192=1771338$       | 576                 |
| $2^{1024}$      | 61632       | 1028288                 |                          | $32573443 * 21 + 192=684042495$   | $593283*15+192=8899437$       | 1088                |
| $2^{2048}$      | 253120      | 4149440                 |                          | $140460035 * 23 + 192=3230580997$ | $2575167*17+192=43778031$     | 2112                |

number of bits to store [0,p) =  $(\lfloor \log_2(p) \rfloor + 1)$



# Experiments

## 2. Work Factor vs. Key Generation Speed

- ▶ Hypothesis

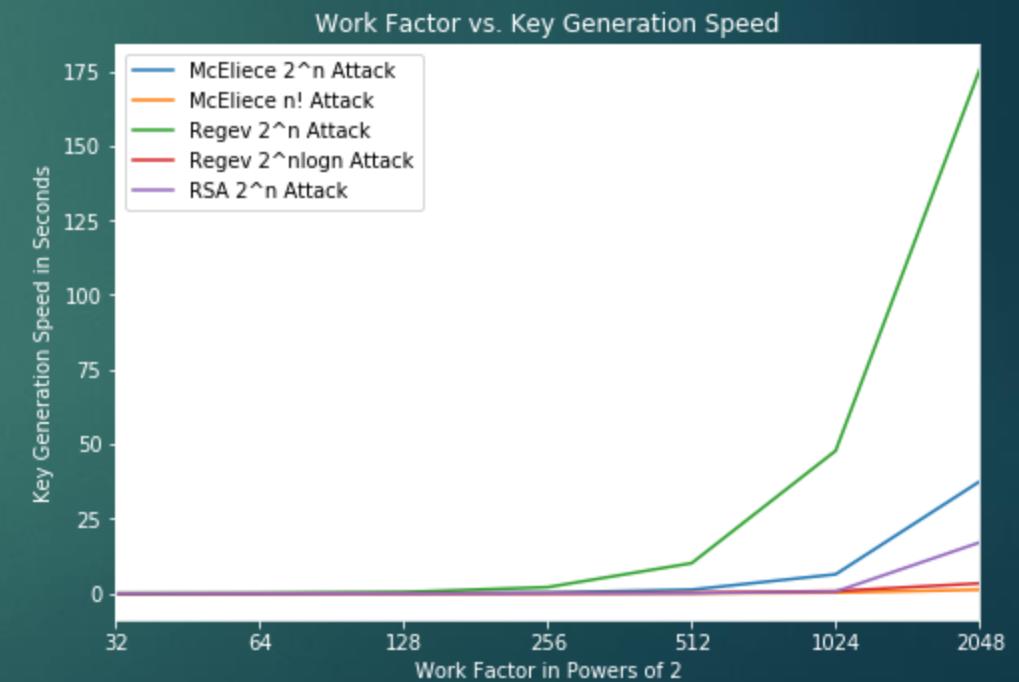
|                      | McEliece | Regev                     | RSA      |
|----------------------|----------|---------------------------|----------|
| key generation speed | $O(n^2)$ | $O(mn) = O(n^2 \log n^2)$ | $O(n^2)$ |
| decryption speed     | $O(1)$   | $O(1)$                    | $O(1)$   |

# Experiments

## 2. Work Factor vs. Key Generation Speed

### ► Results

|                     | Work factor | McEliece $O(n!)$ Attack | McEliece $O(2^n)$ Attack | Regev $O(2^n)$ Attack | Regev $O(2^{n \log n})$ Attack | RSA Attack $O(2^n)$ |
|---------------------|-------------|-------------------------|--------------------------|-----------------------|--------------------------------|---------------------|
| key generation time |             | seconds                 | seconds                  | seconds               | seconds                        | seconds             |
|                     | $2^1$       |                         |                          |                       | .000123                        |                     |
|                     | $2^2$       |                         |                          | .000112392            | .000055                        |                     |
|                     | $2^4$       |                         |                          | .000212368            | .000130                        |                     |
|                     | $2^8$       | .000784                 | .000918                  | .001173041            | .000220                        |                     |
|                     | $2^{16}$    | .000512                 | .001768                  | .004583284            | .000790                        | .010810             |
|                     | $2^{32}$    | .001943                 | .006540                  | .020853274            | .001781                        | .003524             |
|                     | $2^{64}$    | .005974                 | .020723                  | .102617203            | .004651                        | .003550             |
|                     | $2^{128}$   | .020653                 | .074750                  | .44960736             | .015713                        | .004828             |
|                     | $2^{256}$   | .019606                 | .273176                  | 2.0868353             | .054341                        | .031310             |
|                     | $2^{512}$   | .075963                 | 1.24842                  | 10.126917             | .177354                        | .103344             |
|                     | $2^{1024}$  | .274670                 | 6.39750                  | 47.790256             | .780439                        | .602274             |
|                     | $2^{2048}$  | 1.239622                | 37.5098                  | 175.428124            | 3.354826                       | 17.06922            |



# Experiments

## 3. Work Factor vs. Encryption Speed

- ▶ Hypothesis

|                  | McEliece | Regev                     | RSA         |
|------------------|----------|---------------------------|-------------|
| encryption speed | $O(n^2)$ | $O(mn) = O(n^2 \log n^2)$ | $O(n^2)$    |
| work factor      | $O(1)$   | $O(\log m)$               | $O(\log n)$ |

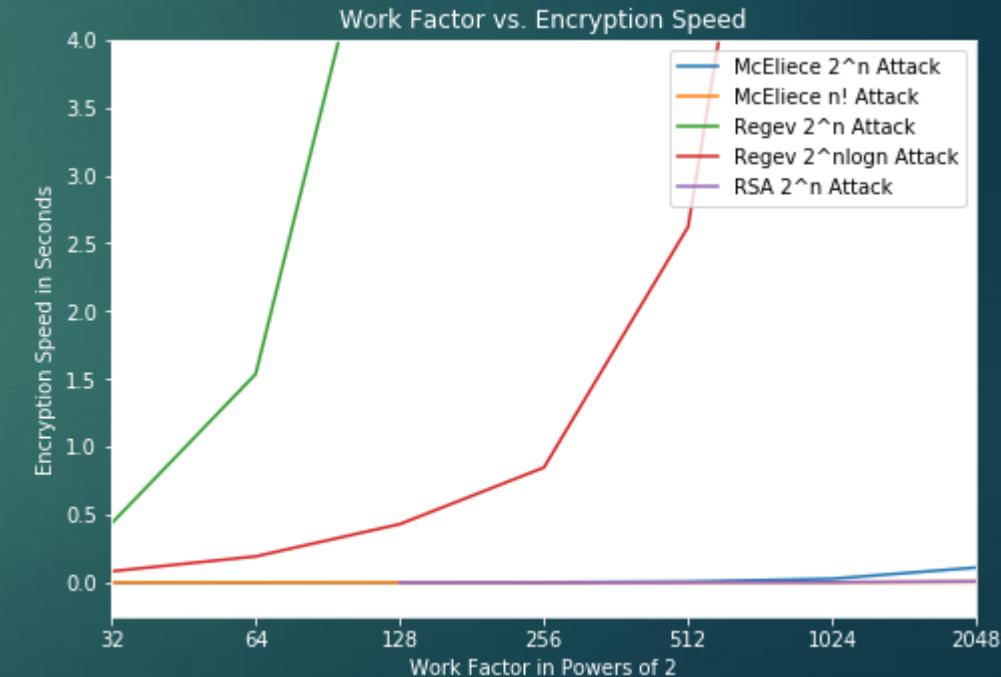
# Experiments

## 3. Work Factor vs. Encryption Speed

### ► Results

inf = greater than 5 minutes

|                            | Work factor | McEliece $O(n!)$ Attack | McEliece $O(2^n)$ Attack | Regev $O(2^n)$ Attack | Regev $O(2^{n\log n})$ Attack | RSA Attack $O(2^n)$ |
|----------------------------|-------------|-------------------------|--------------------------|-----------------------|-------------------------------|---------------------|
| encryption time in seconds |             | seconds                 | seconds                  | seconds               | seconds                       | seconds             |
| $2^1$                      |             |                         |                          |                       | .003851                       |                     |
| $2^2$                      |             |                         |                          | .004972               | .003950                       |                     |
| $2^4$                      |             |                         |                          | .012093               | .007741                       |                     |
| $2^8$                      | .002640     | .002525                 | .041004                  | .014580               |                               |                     |
| $2^{16}$                   | .002546     | .001736                 | .133774                  | .030920               |                               |                     |
| $2^{32}$                   | .001144     | .001083                 | .435323                  | .082704               |                               |                     |
| $2^{64}$                   | .000904     | .001130                 | 1.535871                 | .192512               |                               |                     |
| $2^{128}$                  | .001087     | .002128                 | 5.8007805                | .430259               | .001512                       |                     |
| $2^{256}$                  | .001027     | .001821                 | 24.071204                | .847360               | .000834                       |                     |
| $2^{512}$                  | .002385     | .006608                 | 98.704114                | 2.620565              | .000984                       |                     |
| $2^{1024}$                 | .001593     | .027680                 | inf                      | 9.082701              | .002692                       |                     |
| $2^{2048}$                 | .006030     | .110428                 | inf                      | 37.743688             | .008710                       |                     |



# Experiments

## 4. Work Factor vs. Decryption Speed

- ▶ Hypothesis

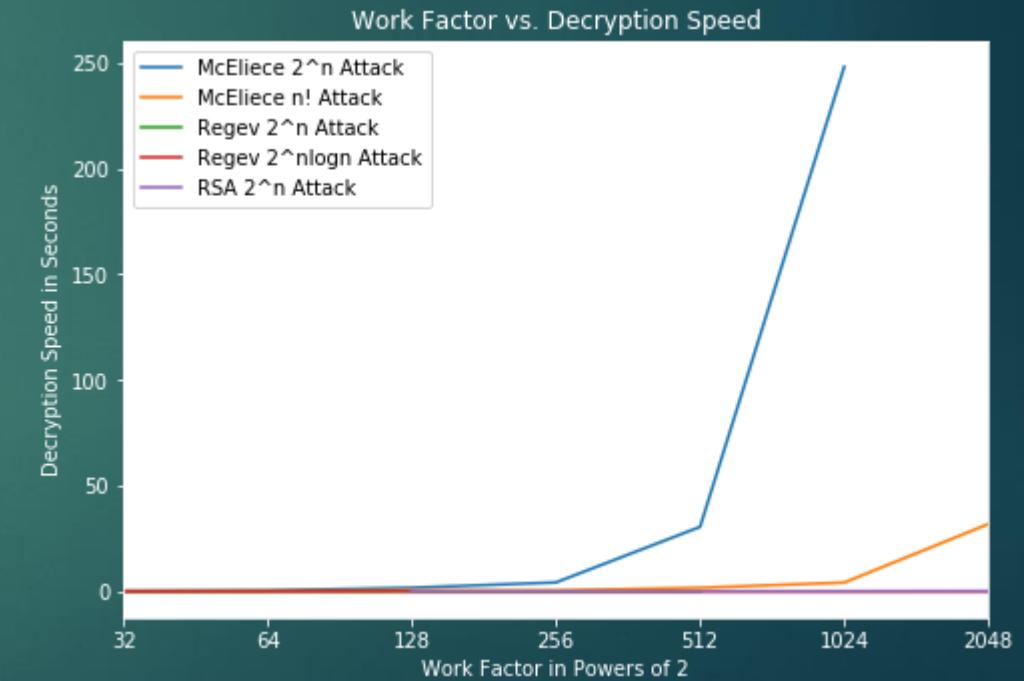
|                  | McEliece | Regev          | RSA      |
|------------------|----------|----------------|----------|
| decryption speed | $O(n^3)$ | $O(n)$ per bit | $O(n^3)$ |
| work factor      | $O(n^3)$ | $O(n^3)$       | $O(n^3)$ |

# Experiments

## 4. Work Factor vs. Decryption Speed

### ► Results

|                            | Work factor | McEliece $O(n!)$ Attack | McEliece $O(2^n)$ Attack | Regev $O(2^n)$ Attack | Regev $O(2^{n\log n})$ Attack | RSA Attack $O(2^n)$ |
|----------------------------|-------------|-------------------------|--------------------------|-----------------------|-------------------------------|---------------------|
| decryption time in seconds |             | seconds                 | seconds                  | seconds               | seconds                       | seconds             |
| $2^1$                      |             |                         |                          |                       | .000217                       |                     |
| $2^2$                      |             |                         |                          | .000231               | .000280                       |                     |
| $2^4$                      |             |                         |                          | .000255               | .000242                       |                     |
| $2^8$                      | .057649     | .058582                 | .000326                  | .000256               |                               |                     |
| $2^{16}$                   | .062007     | .072849                 | .000500                  | .000324               |                               |                     |
| $2^{32}$                   | .068922     | .125848                 | .000780                  | .000395               |                               |                     |
| $2^{64}$                   | .141895     | .387596                 | .001371                  | .000515               |                               |                     |
| $2^{128}$                  | .388524     | 1.656945                | .002543                  | .000643               | .002256                       |                     |
| $2^{256}$                  | .388930     | 4.169249                | .004916                  | .001085               | .002033                       |                     |
| $2^{512}$                  | 1.643109    | 30.439314               | .009317                  | .001760               | .003246                       |                     |
| $2^{1024}$                 | 4.112714    | 247.936048              | ?                        | .003120               | .014033                       |                     |
| $2^{2048}$                 | 31.787255   | inf                     | ?                        | .005721               | .083484                       |                     |



# Experiment

## 5. Work Factor vs. Encrypted Message Size

- ▶ Hypothesis

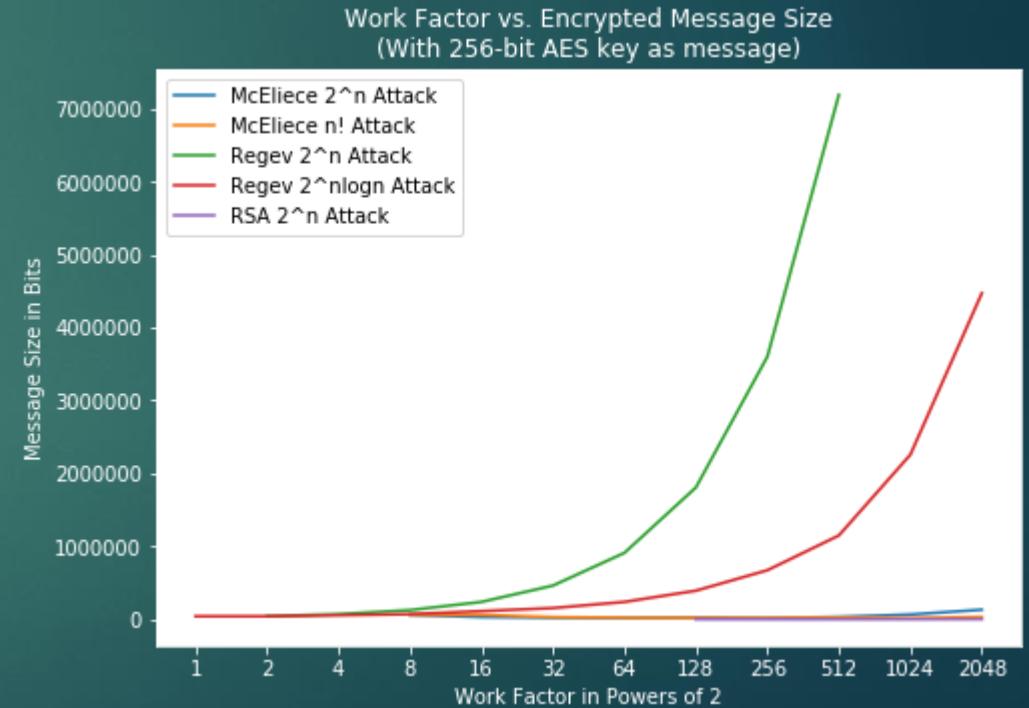
|                          | McEliece                               | Regev                       | RSA               |
|--------------------------|----------------------------------------|-----------------------------|-------------------|
| encrypted message length | $(n-k)/k + 1$ bits per bit =<br>$O(n)$ | $n+1$ bits per bit = $O(n)$ | $O(n)$ bits total |

# Experiment

## 5. Work Factor vs. Encrypted Message Size

- ▶ Results: our implementation

|            | Work factor | McEliece $O(n!)$ Attack | McEliece $O(2^n)$ Attack | Regev $O(2^n)$ Attack | Regev $O(2^{n\log n})$ Attack | RSA Attack $O(2^n)$ |
|------------|-------------|-------------------------|--------------------------|-----------------------|-------------------------------|---------------------|
| # bits     | bits        | bits                    | bits                     | bits                  | bits                          | bits                |
| $2^1$      |             |                         |                          |                       | 42048                         |                     |
| $2^2$      |             |                         |                          | 42048                 | 42048                         |                     |
| $2^4$      |             |                         |                          | 70080                 | 56064                         |                     |
| $2^8$      | 61440       | 61440                   | 126144                   | 70080                 |                               |                     |
| $2^{16}$   | 61440       | 30720                   | 238272                   | 112128                |                               |                     |
| $2^{32}$   | 30720       | 22528                   | 462528                   | 154176                |                               |                     |
| $2^{64}$   | 22528       | 20480                   | 911040                   | 238272                |                               |                     |
| $2^{128}$  | 20480       | 24576                   | 1808064                  | 392448                | 768                           |                     |
| $2^{256}$  | 20480       | 16384                   | 3602112                  | 672768                | 512                           |                     |
| $2^{512}$  | 24576       | 32768                   | 7190208                  | 1149312               | 512                           |                     |
| $2^{1024}$ | 16384       | 65536                   | ?                        | 2256576               | 1024                          |                     |
| $2^{2048}$ | 32768       | 131072                  | ?                        | 4471104               | 2048                          |                     |



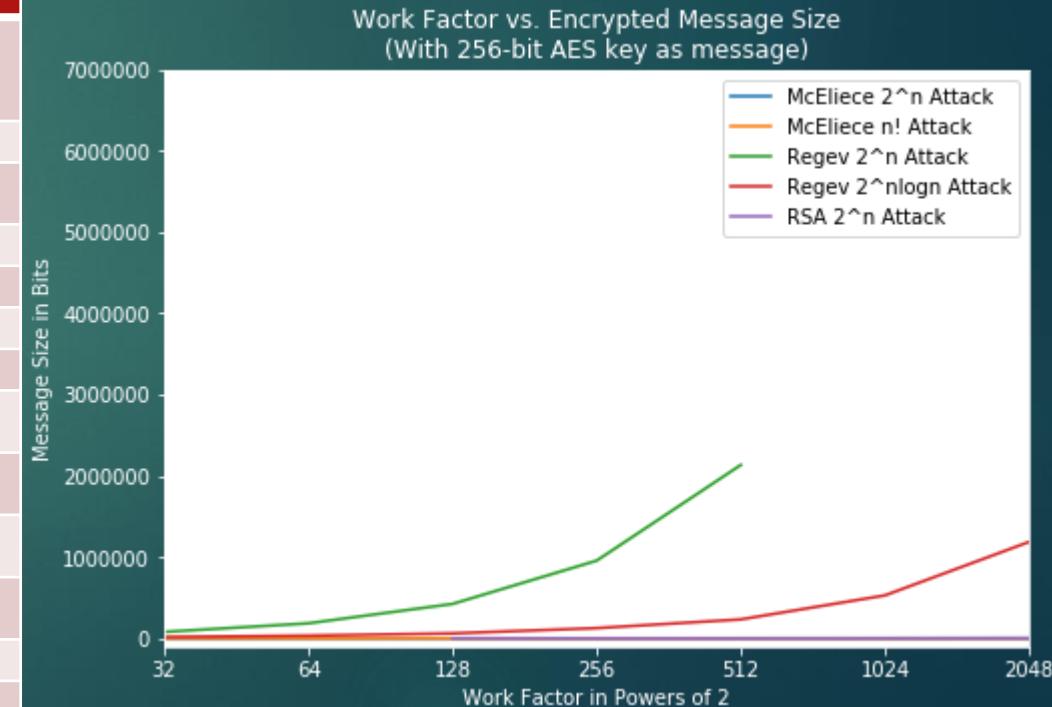
# Experiment

## 5. Work Factor vs. Encrypted Message Size

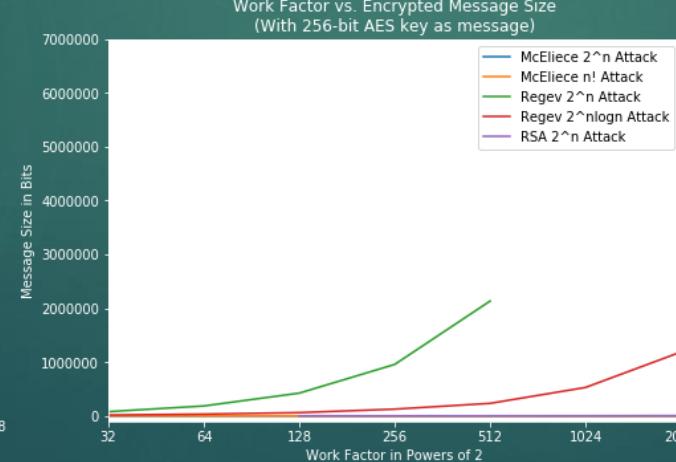
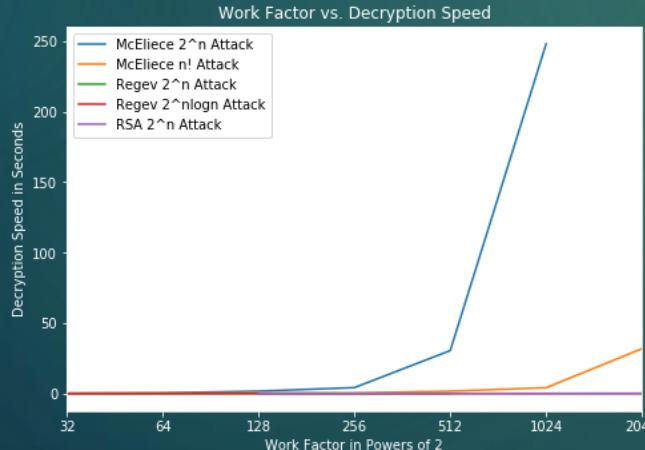
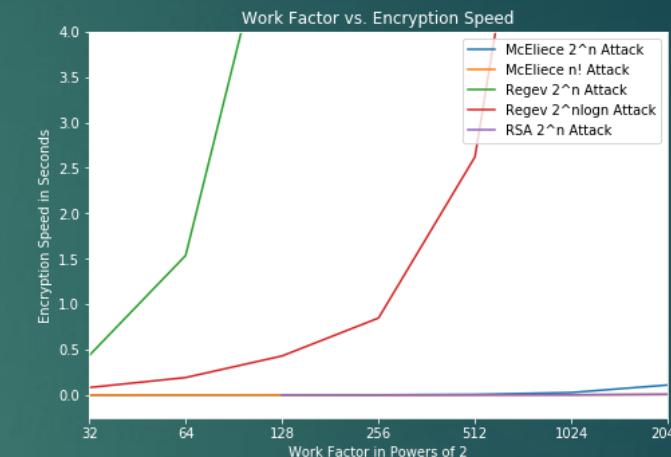
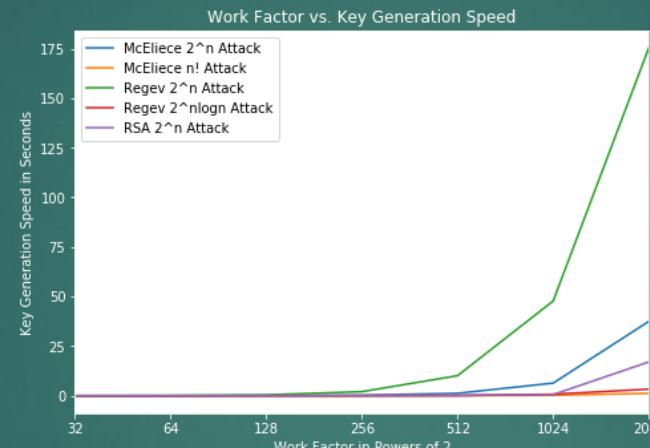
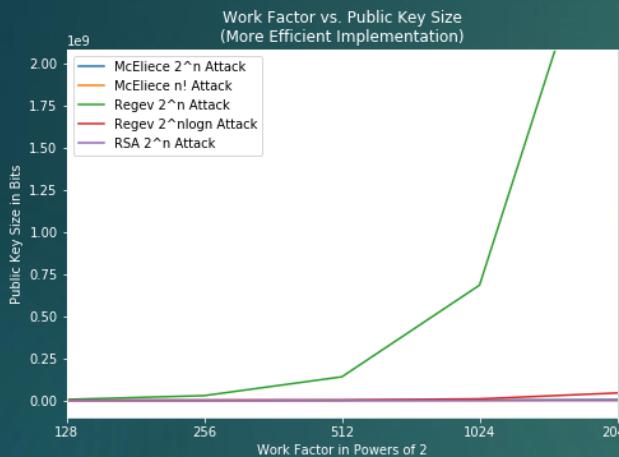
- Results: more efficient implementation

|  | Work factor | McEliece $\mathcal{O}(n!)$ Attack | McEliece $\mathcal{O}(2^n)$ Attack | Regev $\mathcal{O}(2^n)$ Attack | Regev $\mathcal{O}(2^{n\log n})$ Attack | RSA Attack $\mathcal{O}(2^n)$ |
|--|-------------|-----------------------------------|------------------------------------|---------------------------------|-----------------------------------------|-------------------------------|
|  |             | bits                              | bits                               | bits                            | bits                                    | bits                          |
|  | $2^1$       |                                   |                                    |                                 | $657*3=1971$                            |                               |
|  | $2^2$       |                                   |                                    | $657 * 3 = 1971$                | $657*3=1971$                            |                               |
|  | $2^4$       |                                   |                                    | $1095 * 5=5475$                 | $876*4=3504$                            |                               |
|  | $2^8$       | 960                               | 960                                | $1971 * 7=13797$                | $1095*5=5475$                           |                               |
|  | $2^{16}$    | 960                               | 480                                | $3723 * 9=33507$                | $1752*6=10512$                          |                               |
|  | $2^{32}$    | 480                               | 352                                | $7227 * 11=79497$               | $2409*7=16863$                          |                               |
|  | $2^{64}$    | 352                               | 320                                | $14235 * 13=185055$             | $3723*9=33507$                          |                               |
|  | $2^{128}$   | 320                               | 384                                | $28251 * 15=423765$             | $6132*10=61320$                         | 768                           |
|  | $2^{256}$   | 320                               | 256                                | $56283 * 17=956811$             | $10512*12=126144$                       | 512                           |
|  | $2^{512}$   | 384                               | 512                                | $112347 * 19=2134593$           | $17958*13=233454$                       | 512                           |
|  | $2^{1024}$  | 256                               | 1024                               | ?                               | $35259*15=528885$                       | 1024                          |
|  | $2^{2048}$  | 512                               | 2048                               | ?                               | $69861*17=1187637$                      | 2048                          |

number of bits to store  $[0,p) = (\lfloor \log_2(p) \rfloor + 1)$



# Experiments Results



# Challenges

- ▶ McEliece and Regev both difficult to understand
- ▶ McEliece difficult to implement
- ▶ Did not finish in time to put everything in report

# Conclusion

- ▶ In this project we used a unique programming language to implement two candidate public key systems for post-quantum cryptography
- ▶ We gained an understanding of lattice-based systems and code-based systems
- ▶ We showed the cost of replacing pre-quantum systems with post-quantum systems

# References

- [1] McEliece, R. J. "A public-key system based on algebraic coding theory, 114-116. deep sace network progress report, 44." *Jet Propulsion Laboratory, California Institute of Technology* (1978).
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- [4] Gao, Shuhong, and Daniel Panario. "Tests and constructions of irreducible polynomials over finite fields." In *Foundations of computational mathematics*, pp. 346-361. Springer, Berlin, Heidelberg, 1997.
- [5] <https://stackoverflow.com/questions/6192825/c-calculating-the-distance-between-2-floats-modulo-12>