#### Beginning NP-completeness

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# Outline

- Finishing up Rabin-Miller Correctness
- Preliminaries for NP-completeness Chapter

# Finishing up Error Rate Analysis of Miller Rabin

- Last Day, we began to show that if n is an odd composite number, then the number of witnesses to the compositeness is at least (n-1)/2.
- We did this by first showing any nonwitness must be in  $\mathbb{Z}_{n}^{*}$  and these elements form a subgroup of  $\mathbb{Z}_{n}^{*}$ .
- We then wanted to show that they form a proper subgroup to give the result.
- The case where there was an *x* such that  $x^{n-1} \neq 1 \pmod{n}$  was handled last day.
- Suppose for all x in  $\mathbb{Z}_{n}^{*}$ ,  $x^{n-1} \equiv 1 \pmod{n}$ .

## More Miller-Rabin

- Then *n* is a Carmichael number.
- First, notice *n* can't be a prime power. To see this suppose  $n = p^e$ . Since *n* is odd, *p* must also be odd, so  $\mathbb{Z}_n^*$  will be cyclic, so has a generator *g* and by assumption we have  $g^{n-1} = 1 \mod n$ . On the other hand,  $\operatorname{ord}(g) = \phi(n) = (p-1)p^{e-1}$  and the discrete logarithm theorem implies  $n-1 \equiv 0 \pmod{\phi(n)}$ . i.e.,  $(p-1)p^{e-1} \mid p^e - 1$ , which is impossible.
- So suppose *n* is odd, not a prime power and composite. We can then decompose it as  $n = n_1 n_2$  where  $n_1$  and  $n_2$  have different prime factors.
- Recall, *t* and *u* are defined so that  $n-1 = 2^t u$  and *u* is odd.
- Recall Witness computes the sequence  $X = \langle a^u, a^{2u}, a^{(2^2)u}, ..., a^{(2^n)u} \rangle$  (all mod *n*)
- Call a pair (v, j) acceptable if v is in  $\mathbb{Z}_n^*$  and  $v^{(2^{n}j)u} \equiv -1 \pmod{n}$ .
- For example, v = n-1 and j=0 is acceptable.
- Pick an acceptable pair (v, j) with the largest possible value  $j \le t$ .
- Can show  $B = \{x \in \mathbb{Z}_{n}^{*} \mid x^{(2^{n}j)u} \equiv \pm 1 \pmod{n}\}$  is a subgroup of  $\mathbb{Z}_{n}^{*}$ .

#### Even More Miller Rabin

- Every nonwitness must be a member of *B*, since the sequence *X* produced by a nonwitness must be all 1's or else have a -1 no later than the *j*th position, by the maximality of *j*.
- We now use the existence of v such that  $v^{(2^{n}j)u} \equiv -1 \pmod{n}$  to show there exists a w in  $\mathbb{Z}_{n}^{*} \mathbb{B}$ .
- Since  $v^{(2^{n}j)u} \equiv -1 \pmod{n}$  we have  $v^{(2^{n}j)u} \equiv -1 \pmod{n_1}$ .
- So we can find by the Chinese Remainder Theorem a *w* such that  $w \equiv v \pmod{n_1}$  and  $w \equiv 1 \pmod{n_2}$ .
- In which case,  $w^{(2^{n}j)u} \equiv -1 \pmod{n_1}$  and  $w^{(2^{n}j)u} \equiv 1 \pmod{n_2}$ .
- So using Chinese Remainder theorem, we get w<sup>(2^j)u</sup> is not congruent to ±1 (mod n).
- So w is not in B. Nevertheless, one can show its gcd(w, n) = 1 using the Chinese Remainder Theorem together with the fact that v is in  $\mathbb{Z}_{n}^{*}$ . So w is in  $\mathbb{Z}_{n}^{*}$  completing the proof.

#### Introduction to NP-Completeness

- Most algorithms we have studied run in polynomial time or some randomized variant.
- That is on all inputs of length n, the algorithms we've considered run in time at more  $O(n^k)$  for some fixed k.
- We'll start looking today at some problems for which it is unknown if such efficient algorithms exist.
- First we make formal what it is we mean by polynomial times, then we'll consider variant which might be harder.

#### Abstract Problems

- We need a framework for describing problems and reasoning about their runtimes.
- We define an **abstract problem** *Q* to consist of a set of **instances** *I* and a set of **solutions** *S*.
- For example, for SHORTEST-PATH the instances might be triples consisting of graph and two vertices. A solution might be a sequence of vertices for a path between those two points in the graph of shortest distance.
- We will be interested in a subclass of problems called **decision problems**, where the answers are always yes or no.
- For example, does there exists a shortest path of size at most *k*?
- It is usually straightforward to binary search from a way to solve the decision problem to solve the associated o**ptimization problem.**
- Here optimization problems are where we want to find a largest or smallest value.

### Encodings

- An encoding of a set S of abstract object is a mapping from S to binary strings.
- For example one can encode the natural numbers {0, 1, 2, ..} as strings {0, 1, 10,..}.
- One can encode legal English sentences using ASCII, etc.
- A computer algorithm "solves" some abstract decision problem by going from an encoding of a problem instance as an input to 0 or 1 as output.
- We call a problem whose instance set is the set of binary strings a **concrete problem**.
- We say an algorithm solve the problem in O(T(n)) time if when provided a problem instance *i* of length n = |i|, the algorithm can produce the solution using O(T(n)) steps.
- A concrete problem is called polynomial time decidable if there is an algorithm that solves it which runs in time  $O(n^k)$  for some fixed k.
- We write **P** for the class of all such decision problems.
- Similarly, we can define the class of polynomial computed functions f:{0,1}\*-->{0,1}\*.

#### Formal Languages

- In order to study decision problems its useful to have an understanding of formal languages.
- An **alphabet**  $\Sigma$  is a finite set of symbols.
- A **language** is a set of strings over the symbols in an alphabet.
- Some common ways to create new languages from old ones is via unions, concatenation, and star.

#### NP Languages