### Byzantine Agreement

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### Outline

• Byzantine Agreement Problem

### Byzantine Agreement Problem

- Similar to Choice Coordination Problem.
- We want to agree on one of two possible values (say, heads or tails [0,1]).
- We have n processors t of which may be faulty.
- We require that the decision reached by a protocol should have:
  - 1. All good processors finish with the same decision.
  - 2. If all the good processors begin with the same value v, then they should all finish with same value v.

## More on the set up of the Byzantine Problem

- The set of faulty processors is fixed before the computation begins.
- The good processors do not know which processors are faulty.
- During a round, each processor may send one message to each other processor.
- Each processor receives a vote from each of the remaining processors, before the following round begins.
- A processor is allowed to send different messages to different processors.
- Good processors will be assumed to follow our algorithm exactly.
- It is known any deterministic algorithm for this problem needs at least t+1 rounds.
- We will present a randomized algorithm with O(1) expected runtime.

# Some More Remarks before we Begin

- Last day, our solution to the choice coordination problem was only for two processors choosing between two values.
- Neither of these processors was faulty.
- The algorithm we present today works for n processors choosing between two values, so is already more general, ignoring the allowance for faulty processors.
- The original choice coordination problem was for n processors to choose among m choices.
- Notice by repeating the Byzantine procedure  $\log_2 m$  times we can have our processors agree on a first bit of the number between 1 to m, then a second bit of the number between 1 to m, etc.
- If each such single bit agreement can be done in constant time as a function of n. Then, agreeing on a number between 1 and m can be done in O(log m) time. Notice this does not depend on the number of processors.

## Randomized Algorithm for Byzantine Agreement

- We will assume that at the start of each round a trusted third party flips a fair coin.
- Any of the processors have access to this coin.
- We will assume that the number of faulty processors is a number t < n/8.
- Each round a good processor sends the same vote to all the other processors.
- A faulty processor may send arbitrary or even inconsistent votes to each other processor.
- Let L = (5n/8) + 1, H = (3n/4) + 1, and G = 7n/8.

## What the *i*th Processor does during a round (if it is good).

Input: A value for b<sub>i</sub>.

Output: A decision d<sub>i</sub>.

- 1. vote =  $b_i$ .
- 2. For each round, do
  - 3. Broadcast vote;
  - 4. Receive votes from all the other processors.
  - 5. Set maj = majority (0 or 1) value among the votes cast
  - 6. Set tally = the number of votes that maj received.
  - 7. if coin = heads then set threshold = L; else set threshold = H
  - 8. if tally  $\geq$  treshold then set vote = maj; else vote = 0
  - 9. if tally  $\geq$  G then set d<sub>i</sub>=maj permanently.

### Analysis

- First, if all processors begin the round with the same vote, then 9 will apply and so this value will be the value eventually settled upon.
- Suppose the processors begin the round with different values for the vote.
- If two processors compute different values for maj in step 5, then tally does not exceed threshold regardless of whether L or H was chosen as threshold. So all good processors would set their votes to 0 and an agreement would be reached.
- We say a faulty processor **foils** a threshold x in {L,H} in a round if, by sending different messages to the good processors, they cause tally to exceed x for at least one good processor, an to be no more than x for at least one good processor.
- Since the difference between the two possible thresholds is at least t, the faulty processor can foil at most one threshold in a round.
- Since the threshold is chosen with equal probability from {L,H}, it is foiled with probability at most 1/2.
- Thus, the expected number of rounds before we have an unfoiled threshold is at most 2. If the threshold is not foiled then all good processors compute the same value v in step 8.