### More Classes with Randomness.

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## Outline

- Randomized Algorithms
- Randomized Complexity classes

#### Random Walks for SAT

- Last day, we presented the following randomized algorithm forf SAT:
- 1. Start with any truth assignment T, and repeat the following r times:
  - If there is no unsatisfied clause output "Satisfiable", halt.
  - Otherwise, take any unsatisfied clause; pick any of its literals at random and flip its value
- 2. After r repetitions reply "the formula is probably unsatisfiable"
- We had not yet determined what is a reasonable r to use when running the algorithm

#### Theorem

Suppose that the random walk algorithm with  $r=2n^2$  is applied to any satisfiable instance of 2SAT with n variables. Then the probability that a satisfying truth assignment will be discovered is at least 1/2.

- **Proof.** Let T be a truth assignment which satisfies the given 2SAT instance I. Let t(i) denote the number of expected repetitions of the flip step until a satisfying assignment is found starting from anassignment T´ which differs in at most i positions from T. Notice:
  - 1. t(0) = 0
  - 2. If we find some other satisfying assignment we do not need to continue
  - 3. Otherwise, we flip at least once, and we have a 50% chance of moving closer to the solution; 50% farther. So  $t(i) \le 1/2(t(i-1) + t(i+1)) + 1$
  - 4. We also have  $t(n) \le t(n-1) + 1$  (If every literal is wrong, we can only move closer).

The worst case is the when relation t of 3 holds as an equation. x(0)=0; x(n)=x(n-1)+1; x(i) = 1/2(x(i-1)+x(i+1))+1

$$\begin{array}{rcl} x(1) &=& 1/2[x(0) + x(1)] + 1 \\ \vdots &=& \vdots \\ x(n-2) &=& 1/2[x(n-3) + x(n-1)] + 1 \\ && x(n-1) &=& 1/2[x(n-2) + x(n)] + 1 \\ && x(n) &=& x(n-1) + 1 \\ \hline && x(n) + (\sum_{i=2}^{n-1} x_i) + x(1) &=& 1/2x(n) + 1/2x(n-1) + (\sum_{i=2}^{n-1} x_i) + 1/2x(1) + n \\ && x(n) + x(1) &=& 1/2x(n) + 1/2x(n-1) + 1/2x(1) + n \\ && x(n) + x(1) &=& 1/2x(n) + 1/2x(n-1) + 1/2x(1) + n \\ && x(n) + x(1) &=& 1/2x(n) + 1/2(x(n-1)) + 1/2x(1) + n \\ && 1/2x(1) &=& n - 1/2 \end{array}$$

Adding all the x(i)'s together gives:x(1) = 2n-1.

Then solving the  $x_1$  equation for  $x_2$  gives 4n-4, and in general,  $x(i) = 2in-i^2$ .

- Thus we have shown  $t(i) \le x(i) \le x(n) = n^2$ . Now consider the following lemma:
- **Lemma (Markov Inequality).** If x is a random variable taking nonnegative integer values, then for any k>0,  $prob[x \ge k*E(x)] \le 1/k$ .

**Proof.** Let  $p_i$  be the probability that x=i.

$$\begin{split} E(x) &= \sum_{i} i^{*} p_{i} = \sum_{i \leq k^{*} E(x)} i^{*} p_{i} + \sum_{i > k^{*} E(x)} i^{*} p_{i} > k^{*} E(x)^{*} \text{prob}[x > k^{*} E(x)] \\ \text{Q.E.D.} \end{split}$$

The theorem then follows taking k=2.

#### Randomized Complexity Classes

- We would like to study randomized algorithms formally in the context of Turing Machines.
- To do this we will need to consider a variation of what it means for a nondeterministic machine accepts:
- **Defn.** Let N be a p-time NDTM. Assume N is also precise (halts in the same number of steps for all inputs of a given length) and always has exactly two nondeterministic moves from any position. Let L be a language. N is a p-time *Monte Carlo algorithm* for L, if whenever x is in L at least half of N's branches on x accept. Further, if x is not in L then all computation paths halt no. The class of all p-time Monte Carlo languages is denoted **RP**.

#### **RP** is robust

- Suppose we used some value *e* < 1/2 rather than 1/2 in the definition of RP.
- Let L be a language in RP which is accepted according to some machine M where at least *e* fraction of the branches must accept.
- We could repeat M execution k-times and report yes if any of these executions reported yes. The chance of issuing a false negative is then  $(1-e)^k$ .
- Take k = [-1/log(1-*e*)] makes the probability if x is in the language at least 1/2.

#### Semantic versus Syntactic Complexity Classes

- There is no easy way to tell if a given TM N satisfies the Monte Carlo condition.
- For instance, for NP the sequence of guesses leading down an accepting path is a certificate of being in the language. If no such certificate exists then we're not in the language.
- For RP, having at least 1/2 the paths accept says we're in the language, but the absence of 1/2 the paths accepting is not the condition for not being in the language -- If we're not in the language we must have all paths rejecting.
- This is similar to the situation for NP $\cap$ coNP and TFNP.
- These classes are called semantic classes in contrast to classes like P and NP which are called syntactic classes.
- Semantic classes don't usually have complete problems.

#### RP and other Classes

- RP is contained in NP. (A machine recognizing L in RP also recognizes that L is in NP).
- It is unknown if RP is contained in NP  $\cap$  coNP
- Given the asymmetry between acceptance and rejection in RP, it is natural to consider the class coRP.
- We define ZPP = RP ∩coRP. This is sometimes called the class of languages with Las Vegas algorithms
- It used to be Primes was only known to be in ZPP. Now its known to be in P.

# PP

- Consider the problem MAJSAT: Given a boolean expression, do a majority of the assignments satisfy it?
- There is an obvious certificate for this problem namely on n variables give 2<sup>n-1</sup>+1 satisfying truth assignments.
- We let PP be the class of languages L which are recognized by precise NTMs with two branches at every step, such that when x is in L more than half the branches accept and when x is not in L at most half the branches accept.
- You can show MAJSAT is PP-complete under logspace reductions.



#### **Theorem** NP $\subseteq$ PP.

**Proof.** Suppose L is in NP by machine N. Let N' be identical to N except that it has a new initial state with two nondeterministic choices out of it. On the first branch, we run for the same number of steps as N (always branching each step two ways) and along every path we accept. On the second branch we simulate N. So N' will have more than half its paths accepting iff N has at least one accepting path.