# Functions, Graphs, Trees and proofs

CS154 Chris Pollett Jan 31, 2007.

# Outline

- O-Notation
- Equivalence Relations
- Graphs and Trees
- Proofs and Proof Strategies
- Strings

### Growth Rates of Functions

- **Def**<sup><u>n</u></sup> Let **N** be the nonnegative integers. Let *f* and *g* be functions from **N** to **N**.
  - We write f(n) = O(g(n)) if there are positive integer *c*, *m* such that  $f(n) \le c \bullet g(n)$  for all  $n \ge m$ . "*f* grows as *g* or slower"
  - We write  $f(n) = \Omega(g(n))$  if g(n) = O(f(n)).
  - We write  $f(n) = \Theta(g(n))$  if  $f(n) = \Omega(g(n))$  and f(n) = O(g(n)).
- For example,  $n^2+1 = O(n^2)$ . To see this notice, for all  $n \ge 1$ ,  $n^2+1 \le n^2+n^2 \le 2 \cdot n^2$ . So m=1, c=2 in the above definition.
- You might want to convince yourself that:  $n^3 = \Omega(n^2+n+1)$  and  $n^3 + n^2 = \Theta(n^3)$ .

# Equivalence Relations

- One particularly useful kind of relation is an **equivalence** relation. Such a relation acts like '='.
- Like the binary relation equals we will write equivalences in infix notation. i.e., we'll write xRy rather than  $(x,y) \in R$  or R(x,y).
- A binary relation R is an equivalence relation if for each x,y,z:
  - R is reflexive, that is, xRx. (xRx is just R written in infix and we write xRx to mean xRx = TRUE).
  - R is **symmetric**, that is, xRy implies yRx
  - R is **transitive**, that is, xRy and yRz implies xRz.
- The equivalence class of x, denoted [x], is the set:

 $\{y \mid xRy \}$ 

• We often write = or ~ rather than R for equivalence relations.

# Example Equivalence Relations

- Last day, we defined the natural numbers in terms of sets.
- Let '-' be coded as 0, and '+' be coded as 1.
- Z the integers are {[(sgn, n)] | sgn∈{-, +} ∧ n ∈ N} under the equivalence relation:

 $(sgn, n) \sim (sgn', n')$  if n=n' and sgn = sgn' or if n=n'=0

- To keep things simple we abbreviate (+, n) as n and (-, n) as -n. The n=n'=0 case is so that -0~0.
- You might want to think how addition, subtraction, and less than can be defined within this definition of the integers.
- Once we do this, we get the usual view of the integers as ..-2,-1,0,1,2..
- **Q** the rational numbers can be defined as the set of equivalence classes of pairs of integers (p,q) (which we write as p/q) such that  $q \ge 1$  and where  $p/q \sim p'/q'$  if and only if  $p \cdot q' = p' \cdot q$ .
- For example,  $1/2 \sim 2/4$  as 1.4 = 2.2.

# Graphs

- A graph (sometimes called a directed graph) is a pair G=(V,E) where V is a set of vertices (aka points or nodes) and E⊆VxV is a set of edges between points. For example, ({1,2,3,4}, {(1,2),(2,4), (1,1),(3,4)})
- We can draw a graph like this pictorially:



- An edge of the form (v,v) is called a **loop.** For example, (1,1) above.
- An **undirected graph** (or just a **graph**) is graph in which we can ignore the direction on the edges. One way to do this is to require that if (v,w) is in E then (w,v) is also in E.
- For example, the undirected version of the above graph would be : ({1,2,3,4}, {(1,2),(2,1), (2,4),(4,2), (1,1),(3,4), (4,3)})



## More on Graphs

- Last day, we defined the cartesian power of a set  $A^n = Ax..n$  time..xA.
- A sequence of elements from a set A is a tuple in  $A^n$  for some n.
- A sequence of edges of the form  $((v_1, v_2), (v_2, v_3), \dots, (v_{n-1}, v_n))$  in a graph is called a **walk.** For example, w=((1,2), (2,4), (4,1), (1,2)) below is a walk.
- The length of a **walk** is the number of edges in it. length(w) = 4
- A **path** is a walk in which no edge is repeated. For example, p=( (1,2), (2,4), (4,1), (1,3) ) below is a path, w is not.
- A simple path is a path that does not go out of any vertex more than once. For example, p'=((1,2), (2,4), (4,3)) is simple, p is not a simple path.
- A cycle is a path which begins and ends at the same node. A cycle is simple if it does not repeat nodes except the end point twice. For example, ((1,2), (2,4), (4,1), (1,2), (2,4), (4,1)) is a cycle but is not simple; whereas, ((1,2), (2,4), (4,1)) is a simple cycle.



#### Finding Simple Paths

- In this course, we will find it useful to have an algorithm which on inputs a graph G=(V,E) and two vertices s, t, computes a simple path from s to t, if there is a simple path between these points.
- To do this we maintain a set A of active nodes and a set S of seen nodes.
- Initialize  $A=\{s\}, S=\emptyset$ .
- Repeat until either  $t \in A$  or  $A = \emptyset$ 
  - Pick an  $x \in A$ , set  $S := S \cup \{x\}$ .
  - Let UnseenChild(x) :=  $\{y \mid (x,y) \in E \land y \notin S\}.$
  - Set  $A := A \cup UnseenChild(x) \{x\}$
- If  $A=\emptyset$  then output "there is no path s to t"
- Otherwise, we can find a path in reverse order by looking in S for some x such that  $(x,t) \in E$ , then looking in S for some y such that  $(y,x) \in E$ , and so on until we get back to s. This will be a simple path.

#### Trees

- A **tree** is a graph without cycles, and that has one distinct vertex, called the **root**, such that there is exactly one path from the root to every other vertex.
- The root has no incoming edges.
- Any node without outgoing edges is called a **leaf**.
- In (v,w) is an edge in a tree, then v is called the **parent** of w and w is called the **child** of v.
- The **level** of a vertex is the number of edges in the path from the root to that vertex.
- The **height** of a tree is the largest level number of any vertex.



# Definitions, Theorems, Proofs

- **Definitions** describes the objects and notions that we use. We want our definitions to be as precise as possible.
- Once we have made some definitions we make **mathematical statements** involving them.
- A **proof** is a convincing logical argument that a statement is true.
- A **theorem** is a mathematical statement which has been proved true.
- A **lemma** is a simple mathematical statement which has been proved true and which will be used in the proof of a theorem.
- A **proposition** is a mathematical statement with an easy proof. One can view it like a warm-up result, which does not immediately lead to the proof of a theorem
- A **corollary** is a mathematical statement which can be proved easily once some theorem is known.