

# Normal Forms

CS154

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# Outline

- s-grammars
- Methods for transforming grammars
- Chomsky Normal Form

# s-grammars

- Last day we gave a brute force algorithm for checking if a string could be generated by a CFG.
- It had a worst case exponential run-time.
- We would like parsing algorithms which run in linear time.
- One way to achieve this is to restrict the kind of grammars we consider:

**Definition.** A context-free grammar  $G=(V, T, S, P)$  is said to be a **simple grammar** or **s-grammar** if all its productions are of the form:

$$A \rightarrow ax$$

where  $A$  is in  $V$ ,  $a$  is in  $T$ ,  $x$  is in  $V^*$ , and any pair  $(A,a)$  occurs at most once in  $P$ .

- For example,  $S \rightarrow aS|bSS|c$  is an s-grammar, but  $S \rightarrow aS|bSS|aSS|c$  is not because  $(S,a)$  occurs in  $S \rightarrow aS$  and  $S \rightarrow aSS$ .
- Our brute force parsing algorithm will run in linear time with an s-grammar since at any given step there is at most one production which can be used. Further since the right hand side of a production always starts with a terminal we match at least one character of the input with each substitution.
- So after at most linearly many substitution we know if the string is in the language.
- s-grammars tend to be too restrictive to specify practical programming languages; nevertheless, they show the form of the rule is important to get efficient parsers.

# Methods for Transforming Grammars

- We are now going to work towards some normal forms which will be useful in obtaining parsing algorithms for general CFGs.
- To do this we will look at different ways to simplify our grammars.
- To start sometimes it is useful to get rid of the empty string from our language in order to make our proofs easier. It turns out this won't cause a loss of generality in the statements we can say about CFGs.
- To see this, suppose  $L$  is a language and let  $L' = L - \{\lambda\}$ .
- If  $G' = (V, T, S, P)$  is a CFG for  $L'$ , then  $G = (V, T, S_0, P \cup \{S_0 \rightarrow S \mid \lambda\})$  will be a CFG for  $L$ .
- So we will for now restrict our attention to grammars without  $\lambda$ .

# More Methods of Transforming Grammars

- Suppose we have a CFG  $G=(V,T,S,P)$  and let  $A \rightarrow x_1 B x_2$  be in  $P$ . Suppose the variable  $B$  occurs in the following productions in  $G$ :  $B \rightarrow y_1 \mid y_2 \mid \dots \mid y_n$ . Then if  $G'$  is the CFG obtained by replacing  $A \rightarrow x_1 B x_2$  by  $A \rightarrow x_1 y_1 x_2 \mid x_1 y_2 x_2 \mid \dots \mid x_1 y_n x_2$ , we will have  $L(G')=L(G)$ .

**Definition.** Let  $G=(V,T,S,P)$  be a CFG. A variable  $A$  in  $V$  is said to be **useful** iff there is at least one  $w$  in  $L(G)$  such that  $S \Rightarrow^* x A y \Rightarrow^* w$ . Otherwise,  $A$  is said to be **useless**. A production is useless if it involves any useless variables.

- For example,  $S \rightarrow A$ ,  $A \rightarrow a A \mid \lambda$ ,  $B \rightarrow b A$ . Then  $B$  is useless as it is not reachable from the start variable. So the production  $B \rightarrow b A$  is useless.
- Given a CFG if we eliminate all its useless productions we still get a smaller CFG with the same language.
- To determine the useful variables and productions we can start with  $V_1 =$  empty set. Then repeat the following until there are no more variables added to  $V_1$ : For each production  $A \rightarrow x_1 \dots x_n$ , with all  $x_i$ 's that are variables in  $V_1$ , add  $A$  to  $V_1$ . If the start variable is not in  $V_1$  then we know the language is empty, so we can delete all productions.
- Otherwise, if  $S$  is in  $V_1$ , it still might not be the case that every variable in  $V_1$  is useful, so we set  $V_2 = \{S\}$ . Then repeat the following until there are no more variables added to  $V_2$ : For each production  $A \rightarrow x_1 \dots x_n$ , with all  $x_i$ 's that are variables in  $V_1$  and with  $A$  added to  $V_2$ , add each variable on the left hand side to  $V_2$ . After this procedure terminates, take  $V_2$  to be the set of useful variables. All other variables and production they are involved in are useless.

# Removing $\lambda$ -rules/productions

- A production (rule) of a CFG of the form  $A \rightarrow \lambda$  is called a  **$\lambda$ -production** or  **$\lambda$ -rule**. Any variable for which  $A \Rightarrow^* \lambda$ , is called **nullable**.
- Even though a CFG might generate a language not containing  $\lambda$ , it still might have nullable productions. In which case these productions can be removed.
- For example, in  $S \rightarrow aCb$ ,  $C \rightarrow aCb \mid \lambda$ , the variable  $C$  is nullable. We can eliminate the  $\lambda$ -rule by doing substitutions to get:  $S \rightarrow aCblab$ ,  $C \rightarrow aCblab$ .
- To find the set  $N$  of nullable variables of a CFG, we can first put all variables  $A$  which occur in productions of the form  $A \rightarrow \lambda$  into  $N$ . Then repeat until no new variables are added the following step: if  $B$  occurs in a production  $B \rightarrow A_1A_2..A_n$  where each  $A_i$  is in  $N$ , then add  $B$  to  $N$ .
- Once we have the set of nullable variables, we can eliminate any  $\lambda$ -rules from our grammar and for each rule  $C \rightarrow C_1C_2..C_n$  where a nullable variables occur add a rule with each possible substitution of a nullable variable by  $\lambda$ .

# Eliminate Unit Productions

- A **unit production** is a production of the form  $A \rightarrow B$ .
- In general, using the reachability algorithm we can determine if  $A \Rightarrow^* C$  for any two variables  $A$  and  $B$ .
- If  $C$  occurs in the rules  $C \rightarrow y_1 | y_2 | \dots | y_n$ , then we can add the rule  $A \rightarrow y_1 | y_2 | \dots | y_n$  to our grammar without effecting the strings it generates. If we do this for all variables involved on the right hand side of a unit rule and for each  $C$  for which  $A \Rightarrow^* C$ , then we have eliminated unit rules.

# Chomsky Normal Form

- To get an efficient parsing algorithm for general CFGs it is convenient to have them in some kind of normal form.
- Chomsky Normal Form is often used.
- A CFG is in **Chomsky Normal Form** if every rule is of the form  $A \rightarrow BC$  or of the form  $A \rightarrow a$ , where  $A, B, C$  are any variables and  $a$  is a terminal. In addition the rule  $S \rightarrow \lambda$  is permitted.