

# Proofs, Strings, and Finite Automata

CS154

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# Outline

- Proofs and Proof Strategies
- Strings

# Finding proofs

- **Example:** For every graph  $G$ , the sum of the degrees of all the nodes in  $G$  is an even number.
  - Might approach problem by checking cases like when graph has a small number of vertices. One might then notice each edge contributes two to the total sum and that the sum of degrees =  $2 \cdot (\text{number of edges in graph})$ .
- **Types of proofs:**
  - **by construction:** example, there is a graph consisting of  $n$  nodes with only one cycle. Proof: let  $V = \{1, \dots, n\}$ , let  $E = \{\{1, 2\}, \{2, 3\}, \dots, \{n-1, n\}\} \cup \{\{1, n\}\}$ .
  - **by contradiction:** example  $2^{1/2}$  is not a rational number. Idea  
if not can assume  $2^{1/2} = m/n$  where  $m$  and  $n$  share no common factor. In which case, one is odd, the other even. Squaring both sides gives:  $2n^2 = m^2$ , so  $m$  is even because square of an odd number is odd. So  $m = 2k$ , so  $2n^2 = (2k)^2 = 4k^2$ . So  $n^2 = 2k^2$  implying  $n$  is also even, giving a contradiction.
  - **by induction:** Show  $\sum_{i=1}^n i = n(n+1)/2$   
*Base case:* For  $n=1$  we have  $\sum_{i=1}^1 i = 1 = 1(1+1)/2$ .  
*Induction step:* Assume  $\sum_{i=1}^n i = n(n+1)/2$  holds, we want to show  $\sum_{i=1}^{n+1} i = (n+1)(n+2)/2$ . Notice  $\sum_{i=1}^{n+1} i = \sum_{i=1}^n i + (n+1)$ . By our hypothesis, this in turn equals  $n(n+1)/2 + (n+1)$ . Making the denominators the same, this is:  
$$[n(n+1) + 2(n+1)]/2 = (n+1)(n+2)/2.$$
  
*Conclude* the induction holds. So for all  $n$ ,  $\sum_{i=1}^n i = n(n+1)/2$  is true.

# Strings

- Strings of characters are one of the fundamental building blocks of computer science.
- For this class, we will define an **alphabet** to be some nonempty finite set. For example,  
 $\Sigma = \{0, 1\}$   
 $\Sigma = \{a, b, c, d, e, f, g, h, i, j, k, l, m, n, o, p, q, r, s, t, u, v, w, x, y, z\}$
- The members of this set are called the **symbols** of the alphabet.
- A **string** over an alphabet is a finite sequence of symbols from that alphabet. For example, 0100. Here 0111 abbreviates the formal sequence (0,1,1,1)
- The **length** of a string  $w$ ,  $|w|$  is the number of symbols it contains. For example,  $|0100| = 4$
- The **empty string** is written as  $\lambda$  (in many other books it is written as  $\epsilon$ ).

# Strings and Languages

- The **reverse** of a string  $w$ ,  $w^R$ , is the string consists of the symbols of  $w$  in reverse order:  $001^R = 100$ .
- A string  $z$  is a **substring** of  $w$  if  $z$  appear consecutively within  $w$ . So  $011$  is a substring  $10**011**01$ .
- The **concatenation** of two string  $x$  and  $y$ ,  $xy$ , is the string consisting of the symbols in  $x$  followed by the symbols of  $y$ .
- In the string,  $xy$ ,  $x$  would be called the **prefix**;  $y$  would be called the **suffix**.
- We write  $x^k$  to denote  $x$  concatenated to itself  $k$  times.
- A **language** is a set of string.

# Example Languages

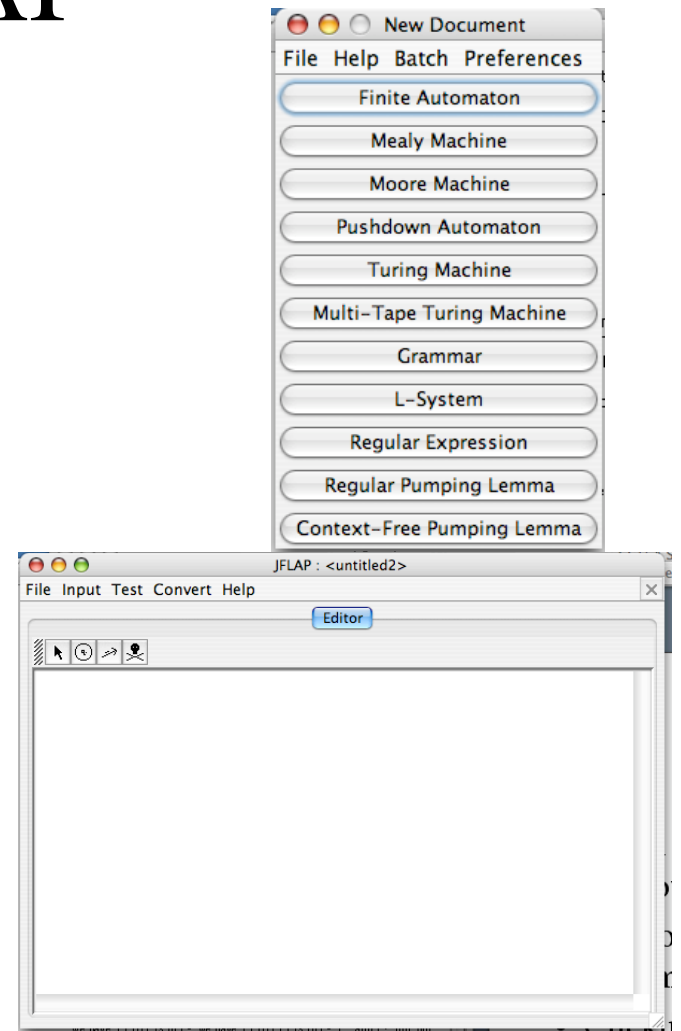
- Suppose  $\Sigma = \{0,1\}$  is our alphabet.
- Then  $L = \{1, 11, 101\}$  is an example language.
- We write  $\Sigma^*$  for the set of all strings over  $\Sigma$ .
- Given a language  $L$ , we define:
  - $L^0 = \{\lambda\}$ ,
  - $L^{n+1} = \{wv \mid w \in L^n \wedge v \in L\}$ .
  - $L^* = \bigcup_{n \geq 0} L^n$ .
  - $L^+ = L L^*$
- Given these definitions for the  $L$  above, we have  $\lambda$  is  $L^*$ , but not in  $L^+$ , we have  $11101$  is in  $L^2$ , we have  $1110111$  is in  $L^3$ ,  $L^*$ , and  $L^+$ , but not  $L^2$ .

# Machines

- We will now begin our study of how to build machines which can recognize languages.
- As a prelude, I will demo JFLAP now.
- To download JFLAP please use the link on the class page.

# Running JFLAP

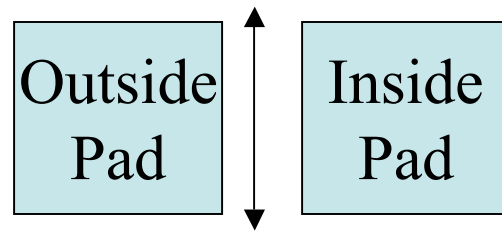
- When you launch JFLAP you get a window like:
- For now we will be using the Finite Automaton button.
- Clicking it will give a window like:
- The four buttons across the top of the edit area allow one to: (1) select a state, (2) create a state, (3) create a transitions, (4) delete a state or transition
- You can save the automaton you make using the File menu.





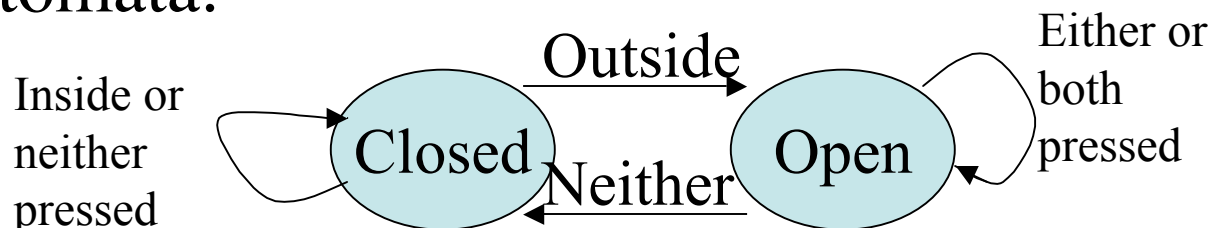
# Introductory Examples

- Finite automata are computer models which are useful when one has very limited memory availability.
- Consider an automatic door say at a grocery store.



Door

- We can model the door state this using a finite automata:



# More on Door Example

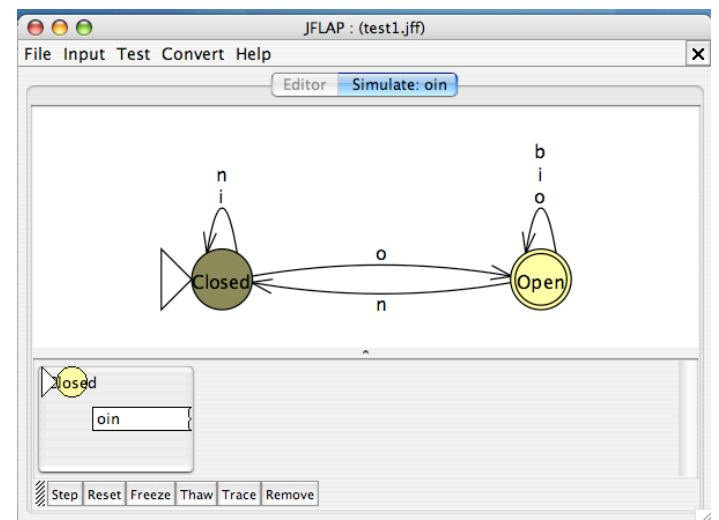
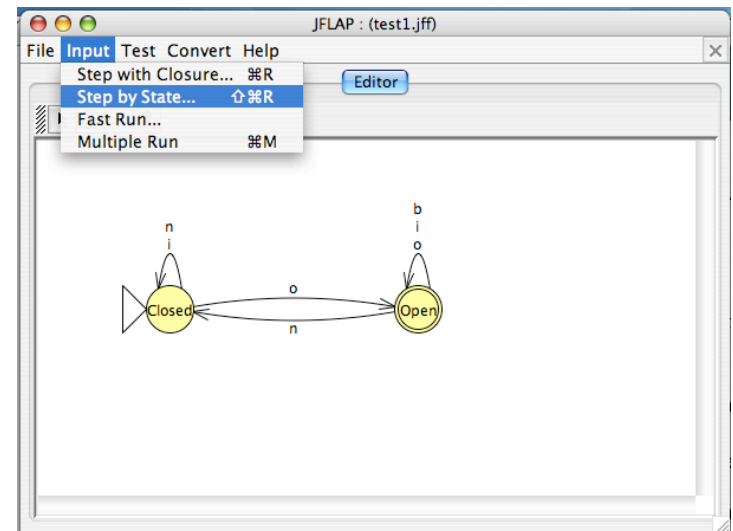
- The controller might start in a CLOSED state and receive the signals: OUTSIDE, INSIDE, NEITHER, INSIDE, BOTH, OUTSIDE, INSIDE NEITHER.
- It would then transition between the states CLOSED (start), OPEN, OPEN, CLOSED, CLOSED, CLOSED, OPEN, OPEN, CLOSED.
- Notice only need 1-bit of memory to keep track of state.
- It is also straightforward to represent transitions in a table:

	Neither	Outside	Inside	Both
Closed	Closed	Open	Closed	Closed
Open	Closed	Open	Open	Open

- Finite automata and their probabilistic counterparts called **Markov chains** are also useful for pattern recognition. For example, recognizing keywords in programming languages. Or figuring out which word English is likely based on the previous ones seen.

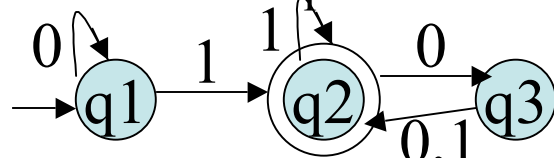
# Running an Automaton in JFLAP on Different Inputs

- We can build the automaton we just discussed in JFLAP.
- We'll use i for inside, o for outside, n for neither, and b for both.
- To test this automaton on some inputs we first need to say what state it starts in by right clicking on a state and setting it to be a start state.
- If we want to say what final states should be viewed as good (aka accepting) we can also do this by right-clicking.
- Then using the Input menu, we can select to run the automaton Step by State.
- You will be prompted for an input to the automaton, at which point you then get a window that let's you step through its computation.



# Names for things

- The picture we drew of our automata a couple slides back is called a **state diagram**.
- We will usually use the variables  $M, N, \dots$  for machines.
- Here is another example machine  $M_1$ :



- The **start state** is the state with an arrow going from nowhere into it.
- If we are recognizing strings then we stop processing when we get to the end of a string of inputs.
- If we are in a double circled state at that point we accept the string otherwise we reject it. So double circled states called **accept states**.
- Arrows going from one state to another are called **transitions**.
- You might want to see if you can figure out if the above automata accepts each of the following strings: 000, 0110, 1101.

# Formal Definition

- A finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where
  1.  $Q$  is a finite set called the **states**.
  2.  $\Sigma$  is a finite set called the **alphabet**.
  3.  $\delta: Q \times \Sigma \rightarrow Q$  is the **transition function**.
  4.  $q_0 \in Q$  is the **start state**, and
  5.  $F \subseteq Q$  is the **set of accept states**.
- The transition function tells us if we are in a given state reading a given symbol what is the next state to go to.

